

On the quantum superalgebra $U_q(gl(m, n))$ and its representations at roots of 1

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Mathematics Subject Classification (2000): 17B37; 17B50.

1 Introduction

Let p be a prime, and let η be a p th root of unity in the complex field \mathbb{C} . Let \mathfrak{g} be the Lie algebra of the semisimple and simply connected algebraic group G defined over $\overline{\mathbb{F}}_p$. Lusztig defined in [14] a remarkable finite dimensional Hopf algebra arising from quantum group $U_\eta(\mathfrak{g})$. Using which he conjectured that the representation theory of G and that of $U_\eta(\mathfrak{g})$ are the same under certain range of weights. The conjecture is now known to hold for p large than certain number (see [1, 6]).

The main purpose of the article is to extend the Lusztig's conjecture to the super case when \mathfrak{g} is the general linear Lie superalgebra $gl(m, n)$ and G is the linear algebraic supergroup $GL(m, n)$. Defined in [3, 12], G is the functor from the category of commutative superalgebras to the category of groups defined on a commutative superalgebra A by letting $G(A)$ be the group of all invertible $(m + n) \times (m + n)$ matrices of the form

$$g = \begin{pmatrix} W, & X \\ Y, & Z \end{pmatrix}$$

where W is an $m \times m$ matrix with entries in $A_{\bar{0}}$, X is an $m \times n$ matrix with entries in $A_{\bar{1}}$, Y is an $n \times m$ with entries in $A_{\bar{1}}$, and Z is an $n \times n$ matrix with entries in $A_{\bar{0}}$. The relation between the category of G -modules and category of \mathfrak{g} -modules given in [3, 12] is similar to the one between the category of modules for algebraic groups and the category of modules for their Lie algebras [9].

The quantum deformations of the Lie superalgebra $\mathfrak{g} = gl(m, n)$ are given in papers such as [2, 20, 21]. But they are defined all differently. The one given in [2] or [20] has one additional generator. Besides, the proof of the PBW theorem in [20] involves topological methods. We adopt here the definition given in [21]. It should

be noted that the proof of the PBW theorem in [21, Prop.1] is incorrect, where the presumed basis is not shown to be linearly independent.

In this paper we first give a purely algebraic proof of the PBW theorem using the methods given by Jantzen [8] and Lusztig [17]. Using the PBW theorem, we then give a description of $U_q(gl(m, n))$ in terms of generators and relations following Lusztig [14]. With these results, we propose a conjecture for the super case, and prove that the conjecture follows from the Lusztig's conjecture provided that the highest weight is *p-typical* (see Sec. 2.1 for definition). Then we establish the Lusztig's tensor product theorem for the quantum supergroup $U_q(gl(m, n))$. It turns out that this theorem bears much resemblances to the one for the general linear algebraic supergroup given in [12].

The paper is arranged as follows. In Sec. 2 we define the quantum superalgebra $U_q(gl(m, n))$ and study the braid group action on it. In Sec. 3 we study the superalgebra structure of $U_q(gl(m, n))$. In Sec. 4, we give an algebraic proof of the PBW theorem for $U_q(gl(m, n))$. In Sec. 5, we give a description of $U_q(gl(m, n))$ in terms of generators and relations. In Sec. 6, we extend the Lusztig's conjecture to the case $U_q(gl(m, n))$ and prove that the conjecture follows from the Lusztig's conjecture in case of a *p*-typical weight. Finally, we prove in Sec. 7 the Lusztig's tensor product theorem for $U_q(gl(m, n))$.

2 Preliminaries

2.1 The quantum deformation of $gl(m, n)$

Let $\mathfrak{g} = gl(m, n)$ be the general linear Lie superalgebra, and let $\overline{U}(\mathfrak{g})$ be its universal enveloping superalgebra.

Set

$$\mathcal{I}_0 = \{(i, j) | 1 \leq i < j \leq m \text{ or } m+1 \leq i < j \leq m+n\},$$

$$\mathcal{I}_1 = \{(i, j) | 1 \leq i \leq m < j \leq m+n\}.$$

$\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$ has the standard basis e_{ij} , $1 \leq i, j \leq m+n$. We denote e_{ji} with $i < j$ also by f_{ij} . Then we get $\mathfrak{g} = \mathfrak{g}_{-1} \oplus \mathfrak{g}_0 \oplus \mathfrak{g}_1$, where

$$\mathfrak{g}_1 = \langle e_{ij} | (i, j) \in \mathcal{I}_1 \rangle \quad \mathfrak{g}_{-1} = \langle f_{ij} | (i, j) \in \mathcal{I}_1 \rangle.$$

Let \mathfrak{g}^+ be the subalgebra $\mathfrak{g}_0 + \mathfrak{g}_1$ of \mathfrak{g} . The parity of the basis elements is given by

$$\bar{e}_{ij} = \bar{f}_{ij} = \begin{cases} \bar{0}, & \text{if } (i, j) \in \mathcal{I}_0 \text{ or } i = j \\ \bar{1}, & \text{if } (i, j) \in \mathcal{I}_1. \end{cases}$$

Let $\mathfrak{H} = \langle e_{ii} | 1 \leq i \leq m+n \rangle$. Then the set of positive roots of \mathfrak{g} relative to \mathfrak{H} is $\Phi^+ = \Phi_0^+ \cup \Phi_1^+$, where

$$\Phi_0^+ = \{\epsilon_i - \epsilon_j | (i, j) \in \mathcal{I}_0\}, \quad \Phi_1^+ = \{\epsilon_i - \epsilon_j | (i, j) \in \mathcal{I}_1\}.$$

Let $\Lambda = \mathbb{Z}\epsilon_1 + \mathbb{Z}\epsilon_2 + \cdots + \mathbb{Z}\epsilon_{m+n} \subseteq \mathfrak{H}^*$. There is a symmetric bilinear form on \mathfrak{H}^* defined by

$$(\epsilon_i, \epsilon_j) = \begin{cases} \delta_{ij}, & i \leq m \\ -\delta_{ij}, & i > m. \end{cases}$$

Let

$$\rho_0 = 1/2 \sum_{\alpha \in \Phi_0^+} \alpha, \quad \rho_1 = 1/2 \sum_{\alpha \in \Phi_1^+} \alpha,$$

and set $\rho =: \rho_0 - \rho_1 \in \Lambda$. An element $\lambda \in \mathfrak{H}^*$ is called typical if $(\lambda + \rho, \alpha) \neq 0$ for all $\alpha \in \Phi_1^+$. Defining $P(\lambda) = \prod_{\alpha \in \Phi_1^+} (\lambda + \rho, \alpha)$ for $\lambda \in \mathfrak{H}^*$, we have $P(\lambda) \in \mathbb{Z}$ for each $\lambda \in \Lambda$. An element $\lambda \in \Lambda$ is called *p-typical* if $P(\lambda) \notin p\mathbb{Z}$.

Let $\lambda = \lambda_1\epsilon_1 + \lambda_2\epsilon_2 + \cdots + \lambda_{m+n}\epsilon_{m+n} \in \Lambda$. For each $(i, j) \in \mathcal{I}_1$, set $c(i, j) = i + j - 2m - 1$. A direct computation shows that λ is typical if and only if

$$\lambda_i + \lambda_j \neq c(i, j)$$

for all $(i, j) \in \mathcal{I}_1$, and λ is *p-typical* if and only if

$$\lambda_i + \lambda_j \not\equiv c(i, j) \pmod{p}$$

for all $(i, j) \in \mathcal{I}_1$.

For any two non-negative integers $i < j$, set $[i, j] = \{i, i+1, \dots, j\}$ as in [14]; set also $[i, j] = \{i, i+1, \dots, j-1\}$.

Note: For each $\mu \in \mathbb{Z}^+$, there is a $\lambda = \sum \lambda_i \epsilon_i \in \Lambda$ such that $\lambda_i - \lambda_{i+1} \geq \mu$ for all $i \in [1, m+n) \setminus m$. First, let $\lambda_{m+i} = (n-i)\mu$, for $i = 1, \dots, n$. To choose λ_i for $i \in [1, m]$, we proceed by induction on i . Let λ_m be such that $\lambda_m + \lambda_{m+i} \neq c(m, m+i)$ for all $i \in [1, n]$. Assume we have chosen λ_i for $1 < i \leq m$. Let λ_{i-1} be such that $\lambda_{i-1} + \lambda_{m+j} \neq c(i-1, m+j)$ for all $j \in [1, n]$ and $\lambda_{i-1} \geq \lambda_i + \mu$. Then we obtain $\lambda \in \Lambda$ as desired.

Put

$$h_{\alpha_i} = e_{ii} - (-1)^{\delta_{im}} e_{i+1, i+1}, \quad e_{\alpha_i} = e_{i, i+1}, \quad f_{\alpha_i} = e_{i+1, i}$$

for $i \in [1, m+n)$, as well as $h_{\alpha_m} = e_{m+n, m+n}$. The Cartan matrix of the Lie superalgebra \mathfrak{g} is $A = (a_{ij})_{1 \leq i, j \leq m+n-1}$ with

$$a_{ij} = \begin{cases} 2, & \text{if } i = j \neq m \\ -1, & \text{if } |i - j| = 1 \\ 0, & \text{otherwise.} \end{cases}$$

We now define the augmented Cartan matrix \tilde{A} . This is the $(m+n) \times (m+n-1)$ matrix whose first $m+n-1$ rows are the rows of A and whose last row is $(0, \dots, 0, -1)$.

The Serre-type relations for the universal enveloping superalgebra of the special linear superalgebra $sl(m, n)$ are given in [19], from which one can easily show that

$\overline{U}(\mathfrak{g})$ is generated by the elements $e_{\alpha_i}, f_{\alpha_i}, h_{\alpha_j}, i \in [1, m+n], j \in [1, m+n]$ and the relations

$$\begin{aligned}
h_{\alpha_i} h_{\alpha_j} &= h_{\alpha_j} h_{\alpha_i} \\
h_{\alpha_i} e_{\alpha_j} - e_{\alpha_j} h_{\alpha_i} &= a_{ij} e_{\alpha_j}, h_{\alpha_i} f_{\alpha_j} - f_{\alpha_j} h_{\alpha_i} = -a_{ij} f_{\alpha_j}, \\
e_{\alpha_i} f_{\alpha_j} - (-1)^{\delta_{im}} f_{\alpha_j} e_{\alpha_i} &= \delta_{ij} h_{\alpha_i}, \\
e_{\alpha_i} e_{\alpha_j} &= e_{\alpha_j} e_{\alpha_i}, f_{\alpha_i} f_{\alpha_j} = f_{\alpha_j} f_{\alpha_i}, \quad \text{if } |i-j| > 1, \\
e_{\alpha_i}^2 e_{\alpha_j} - 2e_{\alpha_i} e_{\alpha_j} e_{\alpha_i} + e_{\alpha_j} e_{\alpha_i}^2 &= 0, f_{\alpha_i}^2 f_{\alpha_j} - 2f_{\alpha_i} f_{\alpha_j} f_{\alpha_i} + f_{\alpha_j} f_{\alpha_i}^2 = 0, \quad \text{if } a_{ij} = -1, i \neq m, \\
e_{\alpha_m}^2 &= f_{\alpha_m}^2 = 0, \\
[e_{\alpha_m}, [e_{\alpha_{m-1}}, [e_{\alpha_m}, e_{\alpha_{m+1}}]]] &= 0, [f_{\alpha_m}, [f_{\alpha_{m-1}}, [f_{\alpha_m}, f_{\alpha_{m+1}}]]] = 0.
\end{aligned}$$

Let \mathfrak{g} be the Lie superalgebra $gl(m, n)$ over an algebraically closed field \mathbb{F} . Let M be a simple $\overline{U}(\mathfrak{g}_0)$ -module with highest weight $\lambda \in \mathfrak{H}^*$. Regard M as a $\overline{U}(\mathfrak{g}^+)$ -module by letting \mathfrak{g}_1 act trivially. Then the induced module

$$\mathcal{K}(\lambda) = \overline{U}(\mathfrak{g}) \otimes_{\overline{U}(\mathfrak{g}^+)} M$$

is called the Kac module. In case $\mathbb{F} = \mathbb{C}$, [10, Prop. 2.9] says that $\mathcal{K}(\lambda)$ is a simple $\overline{U}(\mathfrak{g})$ -module if and only if λ is typical.

Let \mathbb{F} be a field with $\text{char.}\mathbb{F} = 0$, and let v be an intermediate over \mathbb{F} . Then the quantum supergroup $U_q(gl(m, n))$ (see [21, p.1237]) is defined as the $\mathbb{F}(v)$ -superalgebra with the generators $K_j, K_j^{-1}, E_{i,i+1}, F_{i,i+1}$ (denoted E_i^{i+1} in [21]), $i \in [1, m+n]$, and the relations

$$\begin{aligned}
(1) \quad & K_i K_j = K_j K_i, K_i K_i^{-1} = 1, \\
(2) \quad & K_i E_{j,j+1} K_i^{-1} = v_i^{(\delta_{ij} - \delta_{i,j+1})} E_{j,j+1}, \quad K_i F_{j,j+1} K_i^{-1} = v_i^{-(\delta_{ij} - \delta_{i,j+1})} F_{j,j+1}, \\
(3) \quad & [E_{i,i+1}, F_{j,j+1}] = \delta_{ij} \frac{K_i K_{i+1}^{-1} - K_i^{-1} K_{i+1}}{v_i - v_i^{-1}}, \\
(4) \quad & E_{m,m+1}^2 = F_{m,m+1}^2 = 0, \\
(5) \quad & E_{i,i+1} E_{j,j+1} = E_{j,j+1} E_{i,i+1}, \quad F_{i,i+1} F_{j,j+1} = F_{j,j+1} F_{i,i+1}, |i-j| > 1, \\
(6) \quad & E_{i,i+1}^2 E_{j,j+1} - (v + v^{-1}) E_{i,i+1} E_{j,j+1} E_{i,i+1} + E_{j,j+1} E_{i,i+1}^2 = 0 \quad (|i-j| = 1, i \neq m), \\
(7) \quad & F_{i,i+1}^2 F_{j,j+1} - (v + v^{-1}) F_{i,i+1} F_{j,j+1} F_{i,i+1} + F_{j,j+1} F_{i,i+1}^2 = 0 \quad (|i-j| = 1, i \neq m), \\
(8) \quad & [E_{m-1,m+2}, E_{m,m+1}] = [F_{m-1,m+2}, F_{m,m+1}] = 0,
\end{aligned}$$

where

$$v_i = \begin{cases} v, & \text{if } i \leq m \\ v^{-1}, & \text{if } i > m. \end{cases}$$

Most often, we shall use E_{α_i} (resp. $F_{\alpha_i}; K_{\alpha_i}$) to denote $E_{i,i+1}$ (resp. $F_{i,i+1}; K_i K_{i+1}^{-1}$) for $\alpha_i = \epsilon_i - \epsilon_{i+1}$.

Remark: (1) For each pair of indices (i, j) with $1 \leq i < j \leq m+n$, the notation E_{ij}, F_{ij} (denoted respectively by E_j^i and E_i^j in [21]) are defined by

$$\begin{aligned} E_{ij} &= E_{ic}E_{cj} - v_c^{-1}E_{cj}E_{ic}, \\ F_{ij} &= -v_cF_{ic}F_{cj} + F_{cj}F_{ic}, \end{aligned} \quad i < c < j.$$

(2) The parity of the elements E_{ij}, F_{ij} is defined by $\bar{E}_{ij} = \bar{F}_{ij} = \bar{e}_{ij} \in \mathbb{Z}_2$.

(3) The bracket product (denote $[\cdot, \cdot]$ in [21]) in $U_q(gl(m, n))$ is defined by

$$[x, y] = xy - (-1)^{\bar{x}\bar{y}}yx.$$

Therefore the relations (8) can be written as (see [13])

$$\begin{aligned} &E_{\alpha_{m-1}}E_{\alpha_m}E_{\alpha_{m+1}}E_{\alpha_m} + E_{\alpha_m}E_{\alpha_{m-1}}E_{\alpha_m}E_{\alpha_{m+1}} + E_{\alpha_{m+1}}E_{\alpha_m}E_{\alpha_{m-1}}E_{\alpha_m} \\ &\quad + E_{\alpha_m}E_{\alpha_{m+1}}E_{\alpha_m}E_{\alpha_{m-1}} - (v + v^{-1})E_{\alpha_m}E_{\alpha_{m-1}}E_{\alpha_{m+1}}E_{\alpha_m} = 0, \\ &F_{\alpha_{m-1}}F_{\alpha_m}F_{\alpha_{m+1}}F_{\alpha_m} + F_{\alpha_m}F_{\alpha_{m-1}}F_{\alpha_m}F_{\alpha_{m+1}} + F_{\alpha_{m+1}}F_{\alpha_m}F_{\alpha_{m-1}}F_{\alpha_m} \\ &\quad + F_{\alpha_m}F_{\alpha_{m+1}}F_{\alpha_m}F_{\alpha_{m-1}} - (v + v^{-1})F_{\alpha_m}F_{\alpha_{m-1}}F_{\alpha_{m+1}}F_{\alpha_m} = 0. \end{aligned}$$

Let $U_q(\mathfrak{g}_0)$ be the quantum deformation of the Lie algebra \mathfrak{g}_0 defined by the even generators $E_{\alpha_i}, F_{\alpha_i}, i \in [1, m+n] \setminus m, K_j^{\pm 1}, j \in [1, m+n]$ and the relations 2.1(1)-(3), (5)-(7) involving only even generators. Then it is easy to see that the Hopf algebra $U_q(\mathfrak{g}_0)$ is the direct sum of its normal Hopf subalgebras:

$$U_q(\mathfrak{g}_0) = U_q(gl_m) \oplus U_q(gl_n).$$

To simplify notation, we set $U_q := U_q(gl(m, n))$. According to [21, p. 1238], U_q has a unique Hopf superalgebra structure (Δ, S, ϵ) such that for all $i \in [1, m+n], j \in [1, m+n]$ that

$$\begin{aligned} \Delta(E_{\alpha_i}) &= E_{\alpha_i} \otimes K_{\alpha_i} + 1 \otimes E_{\alpha_i}, \\ \Delta(F_{\alpha_i}) &= F_{\alpha_i} \otimes 1 + K_{\alpha_i}^{-1} \otimes F_{\alpha_i}, \\ \Delta(K_j) &= K_j \otimes K_j; \\ S(E_{\alpha_i}) &= -E_{\alpha_i}K_{\alpha_i}^{-1}, \\ S(F_{\alpha_i}) &= -K_{\alpha_i}F_{\alpha_i}, \\ S(K_j) &= K_j^{-1}; \\ \epsilon(E_{\alpha_i}) &= \epsilon(F_{\alpha_i}) = 0, \epsilon(K_j) = \epsilon(K_j^{-1}) = 1. \end{aligned}$$

For a \mathbb{Z}_2 -graded vector space $V = V_0 \oplus V_1$, we let $\mathcal{H}(V)$ denote the set of all homogeneous elements in V .

Remark: (1) Let $\mathfrak{A} = \mathfrak{A}_0 \oplus \mathfrak{A}_1$ be a superalgebra. Then the product in $\mathfrak{A} \otimes \mathfrak{A}$ is given by

$$(a \otimes b)(c \otimes d) = (-1)^{\bar{b}\bar{c}}ac \otimes bd, a, b, c, d \in \mathcal{H}(\mathfrak{A}).$$

(2) In a Hopf superalgebra $\mathfrak{A} = \mathfrak{A}_{\bar{0}} \oplus \mathfrak{A}_{\bar{1}}$, the antipode S is a \mathbb{Z}_2 -graded anti-automorphism, that is

$$S(u_1 u_2) = (-1)^{\bar{u}_1 \bar{u}_2} S(u_2) S(u_1),$$

for all $u_1, u_2 \in \mathcal{H}(\mathfrak{A})$.

Throughout the paper, all the subalgebras, ideals of the superalgebras to be discussed are assumed to be \mathbb{Z}_2 -graded. Also, the modules and submodules over a superalgebra are all assumed to be \mathbb{Z}_2 -graded. The homomorphism between two superalgebras or two modules over a superalgebra is always assumed to be even.

2.2 The braid group action on U_q

For $i \in [1, m+n] \setminus m$, the automorphism T_{α_i} of U_q is defined by (see [21, Appendix A] and also [14, 1.3])

$$\begin{aligned} T_{\alpha_i}(E_{\alpha_j}) &= \begin{cases} -F_{\alpha_i} K_{\alpha_i}, & \text{if } i = j, \\ E_{\alpha_j}, & \text{if } a_{ij} = 0, \\ -E_{\alpha_i} E_{\alpha_j} + v_i^{-1} E_{\alpha_j} E_{\alpha_i}, & \text{if } a_{ij} = -1. \end{cases} \\ T_{\alpha_i} F_{\alpha_j} &= \begin{cases} -K_{\alpha_i}^{-1} E_{\alpha_i}, & \text{if } i = j, \\ F_{\alpha_j}, & \text{if } a_{ij} = 0, \\ -F_{\alpha_j} F_{\alpha_i} + v_i F_{\alpha_i} F_{\alpha_j}, & \text{if } a_{ij} = -1. \end{cases} \\ T_{\alpha_i} K_j &= \begin{cases} K_{i+1}, & \text{if } j = i, \\ K_i, & \text{if } j = i+1, \\ K_j, & \text{if } j \neq i, i+1. \end{cases} \end{aligned}$$

It is pointed out in [21] that each T_{α_i} is a \mathbb{Z}_2 -graded automorphism of U_q , which means (see [21, Appendix. A])

$$T_{\alpha_i}(uv) = (-1)^{\bar{u}\bar{v}} T_{\alpha_i}(u) T_{\alpha_i}(v), \quad u, v \in \mathcal{H}(U_q).$$

But a straightforward computation shows that T_{α_i} is an even automorphism for U_q , that is,

$$T_{\alpha_i}(uv) = T_{\alpha_i}(u) T_{\alpha_i}(v), \quad \text{for all } u, v \in \mathcal{H}(U_q).$$

In fact, one can see this by checking that $T_{\alpha_s}(s \in [1, m+n] \setminus m)$ preserves the relation 2.1(3) in the case $i = j = m$.

Assume $l \geq 1$. Let $\eta \in \mathbb{C}$ be a primitive l th root of unity. We define the $\mathbb{F}(\eta)$ -superalgebra $U_\eta(gl(m, n)) = U_q/(v - \eta)U_q$. Then $U_\eta(gl(m, n))$ can also be regarded as a $\mathbb{F}(\eta)$ -superalgebra defined by the same generators as those for U_q , and the same relations with each v replaced by η . For each $i \in [1, m+n] \setminus m$, we define $T_{\alpha_i}: U_\eta(gl(m, n)) \rightarrow U_\eta(gl(m, n))$ by the same formulas as above, with v replaced by η .

Assume $\eta^4 \neq 1$. Multiplying both sides 2.1(6)(resp. 2.1(7)) in the case $i = m-1$, $j = m$ by $E_{m,m+1}$ (resp. $F_{m,m+1}$) from left and right respectively, we obtain

$$(a1) \quad E_{m-1,m}E_{m,m+1}E_{m-1,m}E_{m,m+1} - E_{m,m+1}E_{m-1,m}E_{m,m+1}E_{m-1,m} = 0,$$

$$(a2) \quad F_{m-1,m}F_{m,m+1}F_{m-1,m}F_{m,m+1} - F_{m,m+1}F_{m-1,m}F_{m,m+1}F_{m-1,m} = 0.$$

Lemma 2.1. *Assume $\eta^4 \neq 1$. Each T_{α_i} ($i \in [1, m+n] \setminus m$) is an automorphism of $U_\eta(gl(m, n))$.*

Proof. The proof follows essentially from the same computations as those for the $\mathbb{F}(v)$ -superalgebra U_q . We only show that T_{α_i} preserves the relation 2.1(4). Using the relation 2.1(6), one can show that

$$(T_{\alpha_i}E_{\alpha_m})^2 = E_{\alpha_i}E_{\alpha_m}E_{\alpha_i}E_{\alpha_m} - E_{\alpha_m}E_{\alpha_i}E_{\alpha_m}E_{\alpha_i}$$

for $i = m \pm 1$. Then the lemma follows from identities (a1), (a2). \square

By a straightforward computation ([21, A3]), one obtains for each $i \in [1, m+n] \setminus m$ the inverse map $T_{\alpha_i}^{-1}$:

$$T_{\alpha_i}^{-1}E_{\alpha_j} = \begin{cases} -K_{\alpha_i}^{-1}F_{\alpha_i}, & \text{if } i = j, \\ E_{\alpha_j}, & \text{if } a_{ij} = 0, \\ -E_{\alpha_j}E_{\alpha_i} + v_i^{-1}E_{\alpha_i}E_{\alpha_j}, & \text{if } a_{ij} = -1. \end{cases}$$

$$T_{\alpha_i}^{-1}F_{\alpha_j} = \begin{cases} -E_{\alpha_i}K_{\alpha_i}, & \text{if } i = j, \\ F_{\alpha_j}, & \text{if } a_{ij} = 0, \\ -F_{\alpha_i}F_{\alpha_j} + v_iF_{\alpha_j}F_{\alpha_i}, & \text{if } a_{ij} = -1. \end{cases}$$

$$T_{\alpha_i}^{-1}K_j = \begin{cases} K_{i+1}, & \text{if } j = i, \\ K_i, & \text{if } j = i + 1, \\ K_j, & \text{if } j \neq i, i + 1. \end{cases}$$

A bijective (even) \mathbb{F} -linear map f from an \mathbb{F} -superalgebra \mathfrak{A} to itself is called an anti-automorphism if $f(xy) = f(y)f(x)$ for any $x, y \in \mathcal{H}(\mathfrak{A})$.

There are \mathbb{Z}_2 -graded algebra automorphism Ψ and antiautomorphism Ω of U_q defined by

$$\Psi(E_{\alpha_i}) = F_{\alpha_i}, \Psi(F_{\alpha_i}) = E_{\alpha_i}, \Psi(K_j) = K_j, \Psi(v) = v^{-1},$$

$$\Omega(E_{\alpha_i}) = F_{\alpha_i}, \Omega(F_{\alpha_i}) = E_{\alpha_i}, \Omega(K_j) = K_j^{-1}, \Omega(v) = v^{-1}.$$

Then according to [21], we have

$$(*) \quad \Omega T_{\alpha_i} = T_{\alpha_i} \Omega.$$

A short computation shows that

$$T_{\alpha_i} T_{\alpha_j} E_{\alpha_i} = E_{\alpha_j}, T_{\alpha_i} T_{\alpha_j} F_{\alpha_i} = F_{\alpha_j}, \quad \text{if } a_{ij} = -1.$$

From this observation, together with [21, Lemma 2], one can show that

$$T_{\alpha_i} T_{\alpha_j} T_{\alpha_i} = T_{\alpha_j} T_{\alpha_i} T_{\alpha_j}, \quad \text{if } a_{ij} = -1, \quad T_{\alpha_i} T_{\alpha_j} = T_{\alpha_j} T_{\alpha_i}, \quad \text{if } a_{ij} \neq -1.$$

Let W be the Weyl group of the Lie algebra \mathfrak{g}_0 . Let $w \in W$ with a reduced expression $w = s_{\alpha_{i_1}} s_{\alpha_{i_2}} \cdots s_{\alpha_{i_t}}$. Then we obtain a well-defined superalgebra isomorphism $T_w : U_q \longrightarrow U_q$ such that $T_w = T_{\alpha_{i_1}} T_{\alpha_{i_2}} \cdots T_{\alpha_{i_t}}$.

Suppose $i < k < k+1 < j$. The following identities given in [21] can be verified easily by induction:

$$\begin{aligned} (b1) \quad E_{i,j} &= (-1)^{j-i-1} T_{\alpha_i} T_{\alpha_{i+1}} \cdots T_{\alpha_{k-1}} T_{\alpha_{j-1}}^{-1} T_{\alpha_{j-2}}^{-1} \cdots T_{\alpha_{k+1}}^{-1} E_{k,k+1}, \\ (b2) \quad F_{i,j} &= (-1)^{j-i-1} T_{\alpha_i} T_{\alpha_{i+1}} \cdots T_{\alpha_{k-1}} T_{\alpha_{j-1}}^{-1} T_{\alpha_{j-2}}^{-1} \cdots T_{\alpha_{k+1}}^{-1} F_{k,k+1}. \end{aligned}$$

Applying formula (*) above we get $\Omega(E_{i,j}) = F_{i,j}((i,j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$. It then follows from formulas (b1), (b2) that $E_{ij}^2 = F_{ij}^2 = 0$ for $(i,j) \in \mathcal{I}_1$.

3 Some relations in U_q

It should be noted that there is an imprecision in [21, Lemma 2]. The formulas (see [21, (4)])

$$\begin{aligned} [E_{a,b}, E_{c,d}] &= (v_b - v_b^{-1}) E_{a,d} E_{c,b}, \quad a < b, c < d \\ [F_{a,b}, F_{c,d}] &= -(v_b - v_b^{-1}) F_{a,d} F_{c,b} \end{aligned}$$

hold provided that not just $[a,b] \cap [c,d] \neq \emptyset$ as pointed out in [21], but one also needs assume $[a,b] \not\subseteq [c,d]$ and $[c,d] \not\subseteq [a,b]$. Indeed, we have

Lemma 3.1. *Assume $i < s < t < j$. Then*

$$(a) \quad [E_{i,j}, E_{s,t}] = 0, \quad (b) \quad [F_{i,j}, F_{s,t}] = 0.$$

Proof. Note that (b) follows from (a) using the involution Ω , so it suffices to prove (a). We proceed by induction on $t - s$. The case $t - s = 1$ is an immediate consequence of [21, 22, Lemma 1]. Now assume $t - s \geq 2$.

Recall that

$$E_{s,t} = E_{s,c} E_{c,t} - v_c^{-1} E_{c,t} E_{s,c}, \quad s < c < t.$$

Using induction hypothesis and the identity $\bar{E}_{s,t} = \bar{E}_{s,c} + \bar{E}_{c,t}$, we get

$$\begin{aligned} [E_{i,j}, E_{s,t}] &= E_{i,j} E_{s,t} - (-1)^{\bar{E}_{i,j} \bar{E}_{s,t}} E_{s,t} E_{i,j} \\ &= [E_{i,j}, E_{s,c}] E_{c,t} - v_c^{-1} [E_{i,j}, E_{c,t}] E_{s,c} = 0. \end{aligned}$$

□

The following list of formulas will be useful.

Lemma 3.2. [21, p. 1238-1239]

$$(1) \quad [E_{i,j}, F_{c,c+1}] = \delta_{c+1,j} E_{i,c} K_c K_{c+1}^{-1} v_c^{-1} - \delta_{i,c} (-1)^{\delta_{cm}} E_{c+1,j} K_c^{-1} K_{c+1},$$

$i < j, i \neq c, \text{ or } j \neq c + 1.$

$$(2) \quad [E_{i,j}, F_{i,j}] = (K_i K_j^{-1} - K_i^{-1} K_j) / (v_i - v_i^{-1}).$$

$$(3) \quad [F_{s,i}, E_{s,j}] = \begin{cases} E_{i,j} K_s K_j^{-1} v_j, & i > j > s \\ E_{i,j} K_s^{-1} K_i, & j > i > s. \end{cases}$$

$$(4) \quad E_{s,i} E_{s,j} = (-1)^{\bar{E}_{s,i}} v_s E_{s,j} E_{s,i}, \quad s < i < j.$$

$$(5) \quad E_{j,s} E_{i,s} = (-1)^{\bar{E}_{j,s}} v_s^{-1} E_{i,s} E_{j,s}, \quad i < j < s.$$

$$(6) \quad [E_{i,j}, F_{s,t}] = (v_j - v_j^{-1}) F_{j,t} E_{i,s} K_j^{-1} K_i, \quad i \leq s \leq j < t.$$

It follows from formula (1) that $[E_{i,j}, F_{c,c+1}] = 0$, if $i < c < c + 1 < j$. Applying a similar proof as that for Lemma 3.1, one gets, for $i < s < t < j$,

$$(7) \quad [E_{i,j}, F_{s,t}] = 0,$$

and hence

$$(8) \quad [F_{i,j}, E_{s,t}] = 0.$$

Set

$$\mathfrak{G}^+ = \{E_{ij} | (i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1\}, \mathfrak{G}_0^+ = \{E_{ij} | (i, j) \in \mathcal{I}_0\}, \mathfrak{G}_1^+ = \{E_{ij} | (i, j) \in \mathcal{I}_1\},$$

$$\mathcal{H} = \{K_i | 1 \leq i \leq m + n\}, \quad \mathfrak{G}^- = \Omega \mathfrak{G}^+, \quad \mathfrak{G}_0^- = \Omega \mathfrak{G}_0^+, \quad \mathfrak{G}_1^- = \Omega \mathfrak{G}_1^+.$$

For $x, y \in \mathfrak{G} =: \mathfrak{G}^- \cup \mathcal{H} \cup \mathfrak{G}^+$, we write $x \prec y$ if one of the following conditions holds:

$$(i) \quad x \in \mathfrak{G}_1^-, y \in \mathfrak{G}_0^- \cup \mathcal{H} \cup \mathfrak{G}^+,$$

$$(ii) \quad x \in \mathfrak{G}^-, y \in \mathcal{H} \cup \mathfrak{G}^+,$$

$$(iii) \quad x \in \mathcal{H}, y \in \mathfrak{G}^+,$$

$$(IV) \quad x \in \mathfrak{G}_0^+ \text{ and } y \in \mathfrak{G}_1^+,$$

$$(V) \quad x = E_{i,j} \in \mathfrak{G}_i^+, y = E_{s,t} \in \mathfrak{G}_i^+, i \in \{0, 1\}, \text{ where } i < s \text{ or, } i = s \text{ and } j < t,$$

$$(VI) \quad x, y \in \mathfrak{G}_i^- \text{ with } \Omega(y) \prec \Omega(x).$$

For $x, y \in \mathfrak{G}$, we write $x \preccurlyeq y$ if $x \prec y$ or $x = y$. This order can be extended naturally to the set $\overline{\mathfrak{G}} =: \{x^n | x \in \mathfrak{G}, n \in \mathbb{Z}^+\}$ by letting $x^n \preccurlyeq y^m$ if and only if $x \preccurlyeq y$. We call a product $x_1 x_2 \cdots x_n \in U_q(x_i \in \overline{\mathfrak{G}})$ a standard monomial if $x_i \preccurlyeq x_j$ whenever $i < j$.

Lemma 3.3. *Let $x, y \in \mathfrak{G}$ with $x \prec y$. Then yx is a linear combination of the standard monomials. In particular, if $x, y \in \mathfrak{G}^+$, then*

$$yx = \sum_{x \prec x_i \prec y_i \prec y} c_i x_i y_i, \quad c_i \in \mathbb{Z}[v, v^{-1}].$$

Proof. As the first part follows directly from the identities (1)-(6) above, we shall prove only the second part.

Let $x = E_{i,j}$, $y = E_{s,t}$. The only nontrivial verification is the case $i < s < j < t$. Using the formulas preceding Lemma 3.1, we get

$$yx = E_{s,t}E_{i,j} = (-1)^{\bar{E}_{i,j}\bar{E}_{s,t}} E_{i,j}E_{s,t} + (v_t - v_t^{-1})E_{s,j}E_{i,t}.$$

By Lemma 3.1, we have $[E_{s,j}, E_{i,t}] = 0$. Since $E_{i,j} \prec E_{i,t} \prec E_{s,j} \prec E_{s,t}$, the lemma follows immediately in case of $x, y \in \mathfrak{G}_i$, $i = 0, 1$. If $x \in \mathfrak{G}_0$ and $y \in \mathfrak{G}_1$, then we must have $i < s < j \leq m < t$, so that $E_{i,j} \prec E_{s,j} \prec E_{i,t} \prec E_{s,t}$. \square

Let E_1^δ denote the standard monomial $\Pi_{(i,j) \in \mathcal{I}_1} E_{i,j}^{\delta_{ij}}$, $\delta_{ij} \in \{0, 1\}$. Set $|\delta| = \sum \delta_{ij}$. For $k \geq 0$, let $\mathcal{N}_1^{(k)} = \langle E_1^\delta \mid |\delta| = k \rangle$. Set

$$\mathcal{N}_1 = \sum_{k \geq 0} \mathcal{N}_1^{(k)} \quad \mathcal{N}_1^+ = \sum_{k > 0} \mathcal{N}_1^{(k)}.$$

Let E_{ij} be such that $(i, j) \in \mathcal{I}_1$. Suppose $E_{ij} \prec E_{st}$. By the definition (V) of the order \prec we get $(s, t) \in \mathcal{I}_1$. It then follows from Lemma 3.3 that $\mathcal{N}_1^{(i)} \mathcal{N}_1^{(j)} \subseteq \mathcal{N}_1^{(i+j)}$; hence $(\mathcal{N}_1^+)^{nm+1} = 0$. Let

$$\mathcal{N}_{-1} =: \Omega \mathcal{N}_1, \quad \mathcal{N}_{-1}^+ = \Omega \mathcal{N}_1^+.$$

With a straightforward computation, one gets

$$U_q = \mathcal{N}_{-1} U'_q(\mathfrak{g}_{\bar{0}}) \mathcal{N}_1,$$

where $U'_q(\mathfrak{g}_{\bar{0}})$ denotes the subalgebra of U_q generated by even generators. It is a homomorphic image of the quantum group $U_q(\mathfrak{g}_{\bar{0}})$. It is clear that $U'_q(\mathfrak{g}_{\bar{0}}) \mathcal{N}_1$ is a subalgebra of U_q , and $U'_q(\mathfrak{g}_{\bar{0}}) \mathcal{N}_1^+$ is a nilpotent ideal of $U'_q(\mathfrak{g}_{\bar{0}}) \mathcal{N}_1$.

4 The PBW theorem

In this section, we give an algebraic proof of the PBW theorem for U_q .

4.1 The Hopf superalgebra structure of U_q

Let \check{U}_q be a $\mathbb{F}(v)$ -superalgebra defined with the generators $E_{i,i+1}, F_{i,i+1}, K_i, K_i^{-1}$ and the relations 2.1(1)-(3). Then U_q is the quotient of \check{U}_q . We use notation like E_{ij}, F_{ij} , etc, for the corresponding elements in \check{U}_q and U_q ; it will be clear from the context what is meant.

It is easy to see that

Lemma 4.1. *There are \mathbb{Z}_2 -graded automorphism Ψ and anti-automorphism Ω of \check{U}_q such that*

$$\begin{aligned}\Psi(E_{\alpha_i}) &= F_{\alpha_i}, \Psi(F_{\alpha_i}) = E_{\alpha_i}, \Psi(K_i) = K_i, \Psi(v) = v^{-1}, \\ \Omega(E_{\alpha_i}) &= F_{\alpha_i}, \Omega(F_{\alpha_i}) = E_{\alpha_i}, \Omega(K_i) = K_i^{-1}, \Omega(v) = v^{-1}.\end{aligned}$$

Define an \mathbb{F} -linear map $\bar{\Omega} : \check{U}_q \otimes \check{U}_q \longrightarrow \check{U}_q \otimes \check{U}_q$ by setting

$$\bar{\Omega}(a \otimes b) = \Omega(b) \otimes \Omega(a).$$

Then it is easy to check that

$$\begin{aligned}(a) \quad \bar{\Omega}(u_1 u_2) &= \bar{\Omega}(u_2) \bar{\Omega}(u_1), u_1, u_2 \in \mathcal{H}(\check{U}_q \otimes \check{U}_q), \\ (b) \quad \bar{\Omega} \Delta &= \Delta \Omega.\end{aligned}$$

Lemma 4.2. *There is on \check{U}_q a unique structure (Δ, ϵ, S) of a Hopf superalgebra satisfying the same formulas as in 2.1.*

The proof of the lemma follows from a straightforward computation.

Recall the notation Λ and Φ^+ . For each $\mu = l_1 \epsilon_1 + \cdots + l_{m+n} \epsilon_{m+n} \in \Lambda$, set $K_\mu = \prod_{i=1}^{m+n} K_i^{l_i} \in \check{U}_q$, so that $K_\nu = \Pi K_{\alpha_i}^{k_i}$, if $\nu = \sum k_i \alpha_i \in \mathbb{Z} \Phi^+$. For each finite sequence $I = (\alpha_1, \dots, \alpha_r)$ of simple roots, we denote

$$E_I =: E_{\alpha_1} \cdots E_{\alpha_r}, F_I = F_{\alpha_1} \cdots F_{\alpha_r}, wt I = \alpha_1 + \cdots + \alpha_r.$$

In particular, we let $E_\phi = F_\phi = 1$.

The following lemma can be proved by a similar proof to that of [8, Lemma 4.12]. Using the formula (b) preceding Lemma 4.2, one needs check only the first identity.

Lemma 4.3. *Let I be a sequence as above. We can find elements $C_{A,B}^I \in \mathbb{Z}[v, v^{-1}]$ indexed by finite sequences of simple roots A and B with $wt I = wt A + wt B$ such that in \check{U}_q and in U_q*

$$\begin{aligned}\Delta(E_I) &= \sum_{A,B} C_{A,B}^I(v) E_A \otimes K_{wt A} E_B \\ \Delta(F_I) &= \sum_{A,B} C_{A,B}^I(v^{-1}) F_A K_{wt B}^{-1} \otimes F_B.\end{aligned}$$

We have $c_{A,\phi}^I = \delta_{A,I}$ and $c_{\phi,B}^I = \delta_{B,I}$.

Let $\nu = k_1\epsilon_1 + k_2\epsilon_2 + \cdots + k_{m+n}\epsilon_{m+n} \in \mathbb{Z}\Phi^+ \subseteq \Lambda$. Set

$$\check{U}_{q,\nu}^+ = \langle x \in \check{U}_q^+ | K_i x = v_i^{k_i} x K_i, i = 1, \dots, m+n \rangle,$$

$$\check{U}_{q,-\nu}^- = \langle x \in \check{U}_q^- | K_i x = v_i^{-k_i} x K_i, i = 1, \dots, m+n \rangle.$$

Let $\nu, \mu \in \mathbb{Z}\Phi^+$. We define $\nu \leq \mu$ if $\mu - \nu = \sum k_i \alpha_i$, $k_i \geq 0$. Then Lemma 4.3 implies for all $\mu \in \mathbb{Z}\Phi^+$, $\mu \geq 0$ that

$$\Delta(\check{U}_{q,\mu}^+) \subseteq \oplus_{0 \leq \nu \leq \mu} \check{U}_{q,\nu}^+ \otimes \check{U}_{q,\mu-\nu}^+ K_\nu$$

$$\Delta(\check{U}_{q,-\mu}^-) \subseteq \oplus_{0 \leq \nu \leq \mu} \check{U}_{q,-(\mu-\nu)}^- K_\nu^{-1} \otimes \check{U}_{q,-\nu}^-.$$

For each $i \in [1, m+n]$, set $\alpha_i^\vee = \epsilon_i - (-1)^{\delta_{im}} \epsilon_{i+1}$. Define a symmetric bilinear form on the \mathbb{Z} -lattice $\Lambda = \mathbb{Z}\epsilon_1 + \mathbb{Z}\epsilon_2 + \cdots + \mathbb{Z}\epsilon_{m+n}$ by $(\epsilon_i | \epsilon_j) = \delta_{ij}$.

Let $\mathcal{M} = \mathcal{M}_{\bar{0}} \oplus \mathcal{M}_{\bar{1}}$ be a unitary free associative $\mathbb{F}(v)$ -superalgebra with homogeneous generators ξ_i , $i \in [1, m+n]$. The parity of the generators is defined by

$$\bar{\xi}_i = \begin{cases} \bar{1}, & i = m \\ \bar{0}, & \text{otherwise.} \end{cases} \quad \text{For each } \xi_{i_1} \xi_{i_2} \cdots \xi_{i_k} \in \mathcal{M}, \text{ put } [i_1 \cdots i_k] =: \sum_{s=1}^k \bar{\xi}_{i_s} \in \mathbb{Z}_2.$$

Let $\mathbb{F}^*(v)$ denote the set of nonzero numbers in the field $\mathbb{F}(v)$. For each $\underline{\omega} = (\omega_1, \omega_2, \dots, \omega_{m+n}) \in \mathbb{F}^*(v)^{m+n}$, define the endmorphisms $E_{i,i+1}$, $F_{i,i+1}$, K_j ($i \in [1, m+n]$, $j \in [1, m+n]$) of \mathcal{M} by

$$\begin{aligned} E_{i,i+1} \xi_{i_1} \cdots \xi_{i_r} &= \sum_{1 \leq s \leq r, i_s = i} (-1)^{[i_1 \cdots i_{s-1}] \bar{E}_{i,i+1}} \\ &\cdot \frac{\omega_i \omega_{i+1}^{-1} v_i^{-(\alpha_i^\vee | \alpha_{i_{s+1}} + \cdots + \alpha_{i_r})} - \omega_i^{-1} \omega_{i+1} v_i^{(\alpha_i^\vee | \alpha_{i_{s+1}} + \cdots + \alpha_{i_r})}}{v_i - v_i^{-1}} \xi_{i_1} \cdots \hat{\xi}_{i_s} \cdots \xi_{i_r}. \\ F_{i,i+1} \xi_{i_1} \cdots \xi_{i_r} &= \xi_i \xi_{i_1} \cdots \xi_{i_r}. \\ K_i \xi_{i_1} \cdots \xi_{i_r} &= \omega_i v_i^{-(\epsilon_i | \alpha_{i_1} + \cdots + \alpha_{i_r})} \xi_{i_1} \cdots \xi_{i_r}. \end{aligned}$$

One verifies easily that $E_{i,i+1}$, $F_{i,i+1}$, K_i satisfy the relations 2.1(1)-(3). Therefore, \mathcal{M} is a \check{U}_q -module. We denote it by $\mathcal{M}(\omega)$.

For each $(\omega_1, \omega_2, \dots, \omega_{m+n}) \in \mathbb{F}^*(v)^{m+n}$, the $\mathbb{F}(v)$ -superalgebra \mathcal{M} becomes also a \check{U}_q -module by defining

$$\begin{aligned} E_{i,i+1} \xi_{i_1} \cdots \xi_{i_r} &= \xi_i \xi_{i_1} \cdots \xi_{i_r}, \\ K_i \xi_{i_1} \cdots \xi_{i_r} &= \omega_i v_i^{(\epsilon_i | \alpha_{i_1} + \cdots + \alpha_{i_r})} \xi_{i_1} \cdots \xi_{i_r}, \\ F_{i,i+1} \xi_{i_1} \cdots \xi_{i_r} \\ &= \sum_{1 \leq j \leq r, i_j = i} (-1)^{[i_1 \cdots i_{j-1}] \bar{F}_{i,i+1}} \frac{\omega_i^{-1} \omega_{i+1} v_i^{-(\alpha_i^\vee | \alpha_{i_{j+1}} + \cdots + \alpha_{i_r})} - \omega_i \omega_{i+1}^{-1} v_i^{(\alpha_i^\vee | \alpha_{i_{j+1}} + \cdots + \alpha_{i_r})}}{v_i - v_i^{-1}} \\ &\cdot \xi_{i_1} \cdots \hat{\xi}_{i_j} \cdots \xi_{i_r}. \end{aligned}$$

We denote this \check{U}_q -module by $\mathcal{M}'(\underline{\omega})$.

With Lemma 4.3 and the \check{U}_q -modules $\mathcal{M}(\underline{\omega})$, $\mathcal{M}'(\underline{\omega})$, Jantzen's argument ([8, Prop. 4.16]) can be applied almost verbatim to obtain

Proposition 4.4. *The elements $F_I K_\mu E_J$ with $\mu \in \Lambda$ and I, J finite sequences of simple roots are a basis of \check{U}_q .*

The proposition shows that the map

$$\theta : \begin{array}{c} \check{U}_q^- \otimes \check{U}_q^0 \otimes \check{U}_q^+ \longrightarrow \check{U}_q \\ u_1 \otimes u_2 \otimes u_3 \mapsto u_1 u_2 u_3 \end{array}$$

is an isomorphism of $\mathbb{F}(v)$ -vector spaces.

Set

$$\check{U}_{q,0}^- = \langle F_I \in \check{U}_q^- | \alpha_m \notin I \rangle, \check{U}_{q,1}^- = \langle F_I \in \check{U}_q^- | I = (\beta_{i_1}, \dots, \beta_{i_r}), i_r = m \rangle.$$

It is clear that $\check{U}_{q,0}^-$ (resp. $\check{U}_{q,1}^-$) is a unitary (resp. non-unitary) subalgebra of \check{U}_q^- . Let $\check{U}_{q,i}^+ = \Omega \check{U}_{q,i}^-$, $i = 0, 1$. Then we get

$$\check{U}_q^- = \check{U}_{q,1}^- \otimes \check{U}_{q,0}^-, \quad \check{U}_q^+ = \check{U}_{q,0}^+ \otimes \check{U}_{q,1}^+.$$

Set $u_{ex}^+ =: [E_{m-1,m+2}, E_{m,m+1}] \in \check{U}_q$. Given $i, j \in [1, m+n]$, set $u_{ij}^+ =:$

$$\begin{cases} E_{i,i+1}^2 E_{j,j+1} - (v + v^{-1}) E_{i,i+1} E_{j,j+1} E_{i,i+1} + E_{j,j+1} E_{i,i+1}^2, & \text{if } |i-j| = 1, i \neq m \\ E_{i,i+1} E_{j,j+1} - E_{j,j+1} E_{i,i+1}, & \text{if } |i-j| > 1. \end{cases}$$

Let $u_{ex}^- =: \Omega(u_{ex}^+)$ and let $u_{ij}^- =: \Omega(u_{ij}^+)$ for all $i, j \in [1, m+n]$.

Let \mathcal{J} (resp. \mathcal{J}^+ ; \mathcal{J}^-) be the two-sided ideal of \check{U}_q (resp. \check{U}_q^+ ; \check{U}_q^-) generated by the elements

$$\begin{aligned} & u_{ij}^\pm, E_{m,m+1}^2, F_{m,m+1}^2, u_{ex}^\pm \\ & (\text{resp. } u_{ij}^+, E_{m,m+1}^2, u_{ex}^+; u_{ij}^-, F_{m,m+1}^2, u_{ex}^-). \end{aligned}$$

By a straightforward computation, one gets

Lemma 4.5.

$$\Delta(E_{m,m+1}^2) = E_{m,m+1}^2 \otimes K_m^2 K_{m+1}^{-2} + 1 \otimes E_{m,m+1}^2,$$

$$\Delta(u_{ij}^+) = \begin{cases} u_{ij}^+ \otimes K_{\alpha_i}^2 K_{\alpha_j} + 1 \otimes u_{ij}^+, & \text{if } |i-j| = 1, i \neq m \\ u_{ij}^+ \otimes K_{\alpha_i} K_{\alpha_j} + 1 \otimes u_{ij}^+, & \text{if } |i-j| > 1, \end{cases}$$

$$\Delta(u_{ex}^+) = u_{ex}^+ \otimes K_{m-1} K_m K_{m+1}^{-1} K_{m+2}^{-1} + 1 \otimes u_{ex}^+ \pmod{\mathcal{J} \otimes \check{U}_q + \check{U}_q \otimes \mathcal{J}}.$$

$$S(E_{m,m+1}^2) = E_{m,m+1}^2 K_m^{-2} K_{m+1}^2,$$

$$S(u_{ij}^+) = \begin{cases} -u_{ij}^+ K_{\alpha_i}^{-2} K_{\alpha_j}^{-1}, & \text{if } |i-j| = 1, i \neq m \\ -u_{ij}^+ K_{\alpha_i}^{-1} K_{\alpha_j}^{-1}, & \text{if } |i-j| > 1. \end{cases}$$

It follows from the lemma that

$$\Delta(\mathcal{J}) \subseteq \check{U}_q \otimes \mathcal{J} + \mathcal{J} \otimes \check{U}_q, S(\mathcal{J}) \subseteq \mathcal{J}, \epsilon(\mathcal{J}) = 0.$$

It is easy to check that, for all $s \in [1, m+n)$,

$$[F_{s,s+1}, u_{ij}^+] = 0, \quad [F_{s,s+1}, E_{m,m+1}^2] = 0$$

$$[E_{s,s+1}, u_{ij}^-] = 0, \quad [E_{s,s+1}, F_{m,m+1}^2] = 0.$$

By a straightforward computation, we get

Lemma 4.6. *The following identities hold in \check{U}_q .*

$$[F_{m,m+1}, E_{m-1,m+2}] = 0, \quad E_{m,m+1}E_{m,m+2} + v_m E_{m,m+2}E_{m,m+1} = 0(\text{mod } \mathcal{J}),$$

$$E_{m,m+1}E_{m-1,m+1} + v_{m+1}^{-1} E_{m-1,m+1}E_{m,m+1} = 0(\text{mod } \mathcal{J}).$$

Applying the lemma, one verifies easily that

$$[F_{m-1,m}, u_{ex}^+] = [F_{m+1,m+2}, u_{ex}^+] = [F_{m,m+1}, u_{ex}^+] = 0(\text{mod } \mathcal{J}).$$

By applying Ω , one gets

$$[E_{m-1,m}, u_{ex}^-] = [E_{m+1,m+2}, u_{ex}^-] = [E_{m,m+1}, u_{ex}^-] = 0(\text{mod } \mathcal{J}).$$

It follows that

$$\mathcal{J} = \theta(\check{U}_q^- \otimes \check{U}_q^0 \otimes \mathcal{J}^+ + \mathcal{J}^- \otimes \check{U}_q^0 \otimes \check{U}_q^+).$$

Then we get an induced vector space isomorphism

$$U_q = \check{U}_q/\mathcal{J} \cong \bar{\theta}(\check{U}_q^-/\mathcal{J}^- \otimes \check{U}_q^0 \otimes \check{U}_q^+/\mathcal{J}^+)$$

such that $\bar{\theta}(\check{U}_q^0) = U_q^0$.

By a similar argument as that for [8, 4.11, 4.21], one gets the following result.

Corollary 4.7. (i) U_q has a unique structure (Δ, ϵ, S) of a Hopf superalgebra given in 2.1.

(ii) The multiplication map

$$\bar{\theta} : U_q^- \otimes U_q^0 \otimes U_q^+ \rightarrow U_q, u_1 \otimes u_2 \otimes u_3 \mapsto u_1 u_2 u_3$$

is an isomorphism of vector spaces.

(iii) The superalgebra U_q^+ is isomorphic to the superalgebra with generators $E_{i,i+1}$, $i \in [1, m+n)$ and relations

$$u_{ij}^+ = 0, E_{m,m+1}^2 = 0, u_{ex}^+ = 0.$$

(IV) The superalgebra U_q^- is isomorphic to the superalgebra with generators $F_{i,i+1}$, $i \in [1, m+n)$ and relations

$$u_{ij}^- = 0, F_{m,m+1}^2 = 0, u_{ex}^- = 0.$$

(V) The K_μ with $\mu \in \Lambda$ are a basis of U_q^0 .

Recall the subalgebra $U'_q(\mathfrak{g}_0)$ of U_q in Sec. 3. Then we have

Proposition 4.8. *There is an isomorphism of $\mathbb{F}(v)$ -algebras: $U_q(\mathfrak{g}_0) \cong U'_q(\mathfrak{g}_0)$.*

Proof. Let us denote by $U_q'^-$ (resp. $U_q'^+$) the image of $\check{U}_{q,0}^-$ (resp. $\check{U}_{q,0}^+$) in $U_q^- = \check{U}_q^-/\mathcal{I}^-$ (resp. $U_q^+ = \check{U}_q^+/\mathcal{I}^+$). Then by the definition of $U'_q(\mathfrak{g}_0)$ and Coro. 4.7(ii), we have

$$U'_q(\mathfrak{g}_0) = \bar{\theta}(U_q'^- \otimes U_q^0 \otimes U_q'^+).$$

Let

$$U_q(\mathfrak{g}_0) \cong U_q(\mathfrak{g}_0)^- \otimes U_q^0 \otimes U_q(\mathfrak{g}_0)^+$$

be the triangular decomposition of the quantum group $U_q(\mathfrak{g}_0)$. Using Prop. 4.4, we obtain

$$\mathcal{I}^- \cap \check{U}_{q,0}^- = \sum_{m \notin \{i,j\}} \check{U}_{q,0}^- u_{ij}^- \check{U}_{q,0}^- \quad \mathcal{I}^+ \cap \check{U}_{q,0}^+ = \sum_{m \notin \{i,j\}} \check{U}_{q,0}^+ u_{ij}^+ \check{U}_{q,0}^+.$$

It follows that

$$U_q'^- \cong \check{U}_{q,0}^-/(\mathcal{I}^- \cap \check{U}_{q,0}^-) \cong U_q(\mathfrak{g}_0)^-$$

and similarly $U_q'^+ \cong U_q(\mathfrak{g}_0)^+$. This establishes the proposition. \square

For brevity, we shall write $U'_q(\mathfrak{g}_0)$ also as $U_q(\mathfrak{g}_0)$ in the following.

Recall the subalgebras $\mathcal{N}_{\pm 1}$ of U_q . Let $\pi : \check{U}_q \rightarrow U_q$ be the canonical surjective mapping. Using the arguments in Sec.3, we get $\pi(\check{U}_{q,1}^\pm) \subseteq U_q(\mathfrak{g}_0)\mathcal{N}_{\pm 1}$.

4.2 Highest weight modules for U_q

In this subsection, we construct simple highest weight U_q -modules following the procedure in [17].

For each $\mu = \mu_1\epsilon_1 + \mu_2\epsilon_2 + \cdots + \mu_{m+n}\epsilon_{m+n} \in \Lambda$, set $v^\mu = (v_1^{\mu_1}, \dots, v_{m+n}^{\mu_{m+n}}) \in \mathbb{F}^*(v)^{m+n}$. Let $M = M_{\bar{0}} \oplus M_{\bar{1}}$ be a U_q -module. For any $\underline{\omega} = (\omega_1, \dots, \omega_{m+n}) \in \mathbb{F}^*(v)^{m+n}$, set

$$(M_{\underline{\omega}})_{\bar{j}} = \{x \in M_{\bar{j}} | K_i x = \omega_i x, i = 1, \dots, m+n\}, \quad \bar{j} \in \mathbb{Z}_2,$$

$$M_{\underline{\omega}} = \{x \in M | K_i x = \omega_i x, i = 1, \dots, m+n\}.$$

Then it is easy to check that $M_{\underline{\omega}} = (M_{\underline{\omega}})_{\bar{0}} \oplus (M_{\underline{\omega}})_{\bar{1}}$ and $\sum_{\underline{\omega}} M_{\underline{\omega}}$ is a direct sum.

By the relation 2.1(2), one gets, for all $i \in [1, m+n]$,

$$E_{\alpha_i} M_{\underline{\omega}} \subseteq M_{\underline{\omega}v^{\alpha_i}}, \quad F_{\alpha_i} M_{\underline{\omega}} \subseteq M_{\underline{\omega}v^{-\alpha_i}}.$$

Specifically, one has

$$E_{\alpha_i}(M_{\underline{\omega}})_{\bar{j}} \subseteq (M_{\underline{\omega}v^{\alpha_i}})_{\bar{j}+\bar{\delta}_{im}}, \quad F_{\alpha_i}(M_{\underline{\omega}})_{\bar{j}} \subseteq (M_{\underline{\omega}v^{-\alpha_i}})_{\bar{j}+\bar{\delta}_{im}}.$$

For $\underline{\omega}_1, \underline{\omega}_2 \in \mathbb{F}^*(v)^{m+n}$, we define $\underline{\omega}_2 \leq \underline{\omega}_1$ to mean that

$$\underline{\omega}_1 \underline{\omega}_2^{-1} = v^{\sum l_i \alpha_i}, l_i \in \mathbb{N}.$$

Then one has (see [17, 2.5.2])

$$E_{\alpha_i} M_{\underline{\omega}_1} \subseteq M_{\underline{\omega}_2}, \underline{\omega}_2 \geq \underline{\omega}_1; \quad F_{\alpha_i} M_{\underline{\omega}_1} \subseteq M_{\underline{\omega}_2}, \underline{\omega}_2 < \underline{\omega}_1.$$

A homogeneous nonzero element $x \in M_{\underline{\omega}}$ is called maximal if $E_{\alpha_i} x = 0$ for all $i \in [1, m+n]$.

In the \check{U}_q -module $\mathcal{M}(\underline{\omega})$ given earlier, set

$$\phi_{ij} =: \begin{cases} \xi_i^2 \xi_j - (v + v^{-1}) \xi_i \xi_j \xi_i + \xi_j \xi_i^2, & \text{if } |i - j| = 1, i \neq m \\ \xi_i \xi_j - \xi_j \xi_i, & \text{if } |i - j| > 1, \end{cases}$$

$$\phi_m =: \xi_m^2, \quad \phi_{ex} =: \xi_{m-1} \xi_m \xi_{m+1} \xi_m + \xi_m \xi_{m-1} \xi_m \xi_{m+1} + \xi_{m+1} \xi_m \xi_{m-1} \xi_m + \xi_m \xi_{m+1} \xi_m \xi_{m-1} - (v + v^{-1}) \xi_m \xi_{m-1} \xi_{m+1} \xi_m.$$

Let \mathcal{J} be the two-sided (\mathbb{Z}_2 -graded) ideal of $\mathcal{M}(\underline{\omega})$ generated by these elements. In view of the proof of [17, Lemma 2.3], one can show that \mathcal{J} is stable under the endomorphisms $E_{i,i\pm 1}, K_i^{\pm 1}$ defined earlier. With only a few modifications of [17, 2.4-2.6], one sees that the quotient algebra $\mathcal{M}^{\omega} =: \mathcal{M}(\underline{\omega})/\mathcal{J}$ defines a U_q -module and also

Lemma 4.9. *For each $\underline{\omega} \in \mathbb{F}^*(v)^{m+n}$, there exists a simple U_q -module of highest weight $\underline{\omega}$. It is unique up to isomorphism and contains a unique maximal vector.*

A U_q -module $M = M_{\bar{0}} \oplus M_{\bar{1}}$ is integrable if $M = \sum_{\underline{\omega}} M_{\underline{\omega}}$ and if $E_{i,i+1}, F_{i,i+1}$ ($i \in [1, m+n] \setminus m$) act locally nilpotently on M . Assume $i \neq m$. Using the imbedding of \mathbb{F} -algebras: $f : U_q(\mathfrak{sl}_2) \rightarrow U_q$ with

$$f(E) = E_{i,i+1}, f(F) = F_{i,i+1}, f(K) = K_i K_{i+1}^{-1}, f(v) = v_i,$$

with a few modifications of the proof of [17, Prop. 3.2], one gets

Corollary 4.10. *The U_q -module $M = M_{\bar{0}} \oplus M_{\bar{1}}$ with highest weight*

$$\underline{\omega} = (\omega_1, \dots, \omega_{m+n}) \in \mathbb{F}^*(v)^{m+n}$$

is integrable if and only if for any $i \in [1, m+n] \setminus m$, there is $l_i \in \mathbb{N}$ and $\delta_i \in \{1, -1\}$ such that

$$\omega_i \omega_{i+1}^{-1} = \delta_i v_i^{l_i}.$$

Let M be as in the corollary. For convenience, set $\delta_m = 1$. M is then called a U_q -module of type $\underline{\delta} = (\delta_1, \dots, \delta_{m+n-1})$. Define an automorphism σ of U_q by means of

$$\begin{aligned} \sigma(E_{i,i+1}) &= \delta_i E_{i,i+1}, \sigma(F_{i,i+1}) = F_{i,i+1}, \\ \sigma(K_i) &= \begin{cases} \delta_i K_i, & \text{if } i \leq m, \\ K_{m+1}, & \text{if } i = m+1, \\ \delta_{i-1} \delta_{i-2} K_i, & \text{if } i > m+1. \end{cases} \end{aligned}$$

Twisting the U_q -module M with σ , we get a U_q -module M^{σ} of type $\underline{1} = (1, \dots, 1)$. In what follows, we restrict our discussion only to the U_q -modules of type $\underline{1}$.

4.3 The superalgebra $U_{\mathcal{A}}$

Recall the notion $K_{\alpha_i} =: K_i K_{i+1}^{-1}$, $i \in [1, m+n]$. To unify notation, put $K_{\alpha_{m+n}} =: K_{m+n}$. Set

$$\left[\begin{array}{c} K_{\alpha_i}; c \\ t \end{array} \right] = \prod_{s=1}^t \frac{K_{\alpha_i} v_i^{c-s+1} - K_{\alpha_i}^{-1} v_i^{-c+s-1}}{v_i^s - v_i^{-s}}$$

for each $i \in [1, m+n]$, $c \in \mathbb{Z}$, $t \in \mathbb{N}$. For each $l \in \mathbb{N}$, set

$$[l] =: \frac{v^l - v^{-l}}{v - v^{-1}}.$$

Let $\mathcal{A} = \mathbb{F}[v, v^{-1}] \subseteq \mathbb{F}(v)$, and let $U_{\mathcal{A}}$ (resp. $U_{\mathcal{A}}^+$; $U_{\mathcal{A}}^-$; $U_{\mathcal{A}}^0$) be the \mathcal{A} -subalgebra (with 1) of U_q generated by the homogeneous elements

$$E_{\alpha_i}^{(l)} = [l]!^{-1} E_{\alpha_i}^l, F_{\alpha_i}^{(l)} = [l]!^{-1} F_{\alpha_i}^l, K_{\alpha_j}^{\pm 1}, \left[\begin{array}{c} K_{\alpha_j}; c \\ t \end{array} \right]$$

(resp. $E_{\alpha_i}^{(l)}$; $F_{\alpha_i}^{(l)}$; $K_{\alpha_j}^{\pm 1}$, $\left[\begin{array}{c} K_{\alpha_j}; c \\ t \end{array} \right]$), $i \in [1, m+n]$, $j \in [1, m+n]$. By the formula [17, 4.3.1], we obtain $\left[\begin{array}{c} K_{\alpha_i}; c \\ t \end{array} \right] \in U_{\mathcal{A}}$ ($i \in [1, m+n] \setminus m$, $c \in \mathbb{Z}$, $t \in \mathbb{N}$).

By a short computation, we get, for $i \in [1, m+n]$, $j \in [1, m+n]$,

$$(h1) \quad \left[\begin{array}{c} K_{\alpha_i}; c \\ t \end{array} \right] E_{\alpha_j}^{(l)} = E_{\alpha_j}^{(l)} \left[\begin{array}{c} K_{\alpha_i}; c + la_{ij} \\ t \end{array} \right],$$

$$(h2) \quad \left[\begin{array}{c} K_{\alpha_i}; c \\ t \end{array} \right] F_{\alpha_j}^{(l)} = F_{\alpha_j}^{(l)} \left[\begin{array}{c} K_{\alpha_i}; c - la_{ij} \\ t \end{array} \right].$$

Recall that $E_{ij}^2 = 0$ in case $\bar{E}_{i,j} = \bar{1}$. So we denote $E_{i,j}^{(N)} = [N]!^{-1} E_{ij}^N$ only for $N = 0, 1$ if $\bar{E}_{i,j} = \bar{1}$ but for all $N \in \mathbb{N}$ if $\bar{E}_{i,j} = \bar{0}$. Using formulas from Sec 3, with induction on $N, M \in \mathbb{N}$, we obtain

Lemma 4.11.

$$(1) \quad E_{i,j}^{(N)} E_{i,j}^{(M)} = \left[\begin{array}{c} M+N \\ N \end{array} \right] E_{i,j}^{(N+M)};$$

$$(2) \quad E_{i,j}^{(N)} E_{s,t}^{(M)} = (-1)^{\bar{E}_{ij} \bar{E}_{st}} E_{s,t}^{(M)} E_{i,j}^{(N)},$$

for $i < s < t < j$ or $s < t < i < j$;

$$(3) \quad E_{t,a}^{(N)} E_{t,b}^{(M)} = [(-1)^{\bar{E}_{t,a} v_t}]^{NM} E_{t,b}^{(M)} E_{t,a}^{(N)}, \quad t < a < b;$$

$$(4) \quad E_{b,t}^{(N)} E_{a,t}^{(M)} = [(-1)^{\bar{E}_{b,t} v_t^{-1}}]^{NM} E_{a,t}^{(M)} E_{b,t}^{(N)}, \quad a < b < t;$$

$$(5) \quad E_{i,c}^{(N)} E_{c,j}^{(M)} = \sum_{0 \leq k \leq \min\{N, M\}} v_c^{-(M-k)(N-k)} E_{c,j}^{(M-k)} E_{i,j}^{(k)} E_{i,c}^{(N-k)}, \quad i < c < j.$$

$$(6) \quad E_{i,i+1}^{(M)} F_{j,j+1}^{(N)} = F_{j,j+1}^{(N)} E_{i,i+1}^{(M)} \quad \text{if } i \neq j.$$

With only minor adjustments of the Kac's formula in [17, 4.3], one obtains

$$(7) \quad E_{\alpha_i}^{(N)} F_{\alpha_i}^{(M)} = \sum_{0 \leq t \leq \min\{M, N\}} (-1)^{\delta_{im}(t-1)} F_{\alpha_i}^{(M-t)} \left[\begin{matrix} K_{\alpha_i}; 2t - N - M \\ t \end{matrix} \right]_i E_{\alpha_i}^{(N-t)}.$$

Using the formulas (1)-(7) above, together with (h1), (h2), we get $U_{\mathcal{A}} = U_{\mathcal{A}}^- U_{\mathcal{A}}^0 U_{\mathcal{A}}^+$.

4.4 The proof of the PBW theorem

Let $L = L_{\bar{0}} \oplus L_{\bar{1}}$ be a simple U_q -module with highest weight $\underline{\omega} = (v_1^{r_1}, \dots, v_{m+n}^{r_{m+n}})$ ($(r_1, \dots, r_{m+n}) \in \mathbb{Z}^{m+n}$) such that $r_i - r_{i+1} \in \mathbb{N}$ for all $i \in [1, m+n] \setminus m$. Let $x \in L_{\underline{\omega}}$ be a maximal vector and set $L_{\mathcal{A}} = U_{\mathcal{A}}^- x \subseteq L$. Then by a proof similar to that of [17, Prop. 4.2], one gets

Lemma 4.12. (a) $L_{\mathcal{A}}$ is a $U_{\mathcal{A}}$ -submodule of L .

(b) $\mathbb{F}(v) \otimes_{\mathcal{A}} L_{\mathcal{A}} \rightarrow L$ is an (even) isomorphism of $\mathbb{F}(v)$ -vector spaces.

(c) $L_{\mathcal{A}}$ is the direct sum of $L_{\mathcal{A}} \cap L_{\underline{\omega}'}$ with each $L_{\mathcal{A}} \cap L_{\underline{\omega}'}$ is a finite generated free \mathcal{A} -module of finite rank.

From the discussion in Sec.3, we have

$$U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1 = U_q(\mathfrak{g}_{\bar{0}}) + U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+$$

and $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+$ is a nilpotent (\mathbb{Z}_2 -graded) ideal of $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1$. Since $U_q(\mathfrak{g}_{\bar{0}})$ contains no zero divisors, the sum is direct. Let $M = M_{\bar{0}} \oplus M_{\bar{1}}$ be a simple $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1$ -module. Then $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+ M$ is a graded submodule of M , hence we get $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+ M = 0$. Therefore each simple $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1$ -module is a simple $U_q(\mathfrak{g}_{\bar{0}})$ -module annihilated by $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+$.

Let M be a simple $U_q(\mathfrak{g}_{\bar{0}})$ -module of highest weight $\underline{\omega}$. Regard M as a $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1$ -module annihilated by $U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1^+$. Define the Kac-module

$$\mathcal{K}(\underline{\omega}) = U_q \otimes_{U_q(\mathfrak{g}_{\bar{0}})\mathcal{N}_1} M.$$

Now let $v^+ \in M_{\underline{\omega}}$ be a maximal vector. We regard \mathbb{F} as a \mathcal{A} -module by letting v act as multiplication by 1. Set

$$\mathcal{K}_{\mathcal{A}} = U_{\mathcal{A}} v^+ \subseteq \mathcal{K}(\underline{\omega}), \quad \bar{\mathcal{K}}(\underline{\omega}) = \mathbb{F} \otimes_{\mathcal{A}} \mathcal{K}_{\mathcal{A}}.$$

Let $e_{i,i+1}, f_{i,i+1}, h_{\alpha_i}, i \in [1, m+n], h_{\alpha_{m+n}}, \bar{K}_j, j \in [1, m+n]$ denote respectively the endomorphisms of $\bar{\mathcal{K}}(\omega)$ induced respectively by the elements

$$E_{i,i+1}, F_{i,i+1}, \left[\begin{matrix} K_{\alpha_i}; 0 \\ 1 \end{matrix} \right], \left[\begin{matrix} K_{m+n}; 0 \\ 1 \end{matrix} \right], K_j.$$

Lemma 4.13. (1) $\bar{K}_j = 1$.

(2) Let $\mathfrak{g} = \mathfrak{gl}(m, n)$. The elements $e_{ij}, f_{ij}, h_{\alpha_i}$ satisfy the relations for the universal enveloping algebra $\bar{U}(\mathfrak{g})$.

(3) The element h_{α_i} acts on $\bar{\mathcal{K}}(\underline{\omega})_{\underline{\omega}}(\underline{\omega} = (v_1^{r_1}, \dots, v_{m+n}^{r_{m+n}}))$ as multiplication by

$$\begin{cases} r_i - (-1)^{\delta_{im}} r_{i+1}, & i \in [1, m+n) \\ r_{m+n}, & i = m+n. \end{cases}$$

(4) Assume $\underline{\omega} = (v_1^{r_1}, \dots, v_{m+n}^{r_{m+n}})$ with $r_i - r_{i+1} \in \mathbb{N}$ for all $i \in [1, m+n) \setminus m$. Let $\lambda = \sum_{i=1}^{m+n} r_i \epsilon_i \in \Lambda$. Then $\bar{\mathcal{K}}(\underline{\omega})$ is a homomorphic image of the Kac module $\mathcal{K}(\lambda)$.

Proof. The arguments leading to [17, 4.11] can be repeated to give (1)-(3).

(4) Let $v^+ \in \mathcal{K}(\underline{\omega})$ be a maximal vector. Since

$$\mathcal{K}_{\mathcal{A}} = U_{\mathcal{A}} v^+ = \mathcal{N}_{-1, \mathcal{A}} U_q(\mathfrak{g}_{\bar{0}})_{\mathcal{A}} \mathcal{N}_{1, \mathcal{A}} v^+ = \mathcal{N}_{-1, \mathcal{A}} U_q(\mathfrak{g}_{\bar{0}})_{\mathcal{A}} v^+,$$

we have

$$\bar{\mathcal{K}}(\underline{\omega}) = \wedge(\mathfrak{g}_{-1}) \bar{U}(\mathfrak{g}_{\bar{0}}) \bar{v}^+ = \wedge(\mathfrak{g}_{-1}) \bar{U}(sl_m \oplus sl_n) \bar{v}^+.$$

By the assumption on $\underline{\omega}$, the $\bar{U}(\mathfrak{g}_{\bar{0}})$ -submodule $\bar{U}(\mathfrak{g}_{\bar{0}}) \bar{v}^+$ is integrable. Then it is a semisimple $\bar{U}(sl_m \oplus sl_n)$ -module by [11, 10.7]. Since it is generated by a unique maximal vector \bar{v}^+ , it is a simple $\bar{U}(sl_m \oplus sl_n)$ -module as noted in [17, 4.12] so that it is also a simple $\bar{U}(\mathfrak{g}_{\bar{0}})$ -module. Thus, $\bar{\mathcal{K}}(\underline{\omega})$ is the epimorphic image of the Kac module $\mathcal{K}(\lambda)$. \square

Recall the notion E_1^{δ} . For each $\underline{\psi} \in \mathbb{N}^{|\mathcal{I}_0|}$, let $E_0^{\underline{\psi}}$ denote the product $\prod_{(i,j) \in \mathcal{I}_0} E_{ij}^{\psi_{ij}}$ in the order given in Sec. 3. Set $F_1^{\delta} = \Omega(E_1^{\delta}) \in U_q$ for all $\underline{\delta}$, and set $F_0^{\underline{\psi}} = \Omega(E_0^{\underline{\psi}}) \in U_q$ for all $\underline{\psi}$.

Theorem 4.14. The set of elements

$$\mathcal{S} = \{F_1^{\delta} F_0^{\underline{\psi}} | \underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}, \underline{\psi} \in \mathbb{N}^{|\mathcal{I}_0|}\}$$

is a $\mathbb{F}(v)$ -basis of the subalgebra U_q^- .

Proof. It suffices to show that the set $\mathcal{S} \subseteq U_q^-$ is linearly independent. It's no loss of generality to assume $\mathbb{F} = \mathbb{C}$. Let $\underline{\omega}$ and $\bar{\mathcal{K}}(\underline{\omega})$ be as in Lemma 4.13. Recall the notation $\mathcal{K}(\lambda)$. If $\lambda = r_1 \epsilon_1 + \dots + r_{m+n} \epsilon_{m+n} \in \Lambda$ is typical, so that $\mathcal{K}(\lambda)$ is simple by [10, Prop. 2.9], Lemma 4.13(4) then shows that $\bar{\mathcal{K}}(\underline{\omega}) \cong \mathcal{K}(\lambda)$.

Let \mathcal{S}_1 be any finite subset of \mathcal{S} . Choose an integer $\mu \geq 0$ such that $\psi_{ij} \leq \mu$ for all $(i, j) \in \mathcal{I}_0$ and all $\underline{\psi}$ with $F_1^{\delta} F_0^{\underline{\psi}} \in \mathcal{S}_1$. By the representation theory of $\bar{U}(\mathfrak{g}_{\bar{0}})$, there is a finite dimensional simple $\bar{U}(\mathfrak{g}_{\bar{0}})$ -module with the highest weight

$\underline{\lambda} =: (\lambda_1, \dots, \lambda_{m+n}) \in \mathbb{Z}^{m+n}$ such that $\mu \leq \lambda_i - \lambda_{i+1}$ for all $i \in [1, m+n) \setminus m$. According to the note in Sec. 2.1, we can choose $\underline{\lambda}$ to be typical.

Let $\underline{\omega} = (v_1^{\lambda_1}, \dots, v_{m+n}^{\lambda_{m+n}})$. Then the elements of \mathcal{S}_1 induce linearly independent endomorphisms of the Kac module $\mathcal{K}(\lambda) \cong \bar{\mathcal{K}}(\omega)$. Thus, the set \mathcal{S}_1 is linearly independent, so is the set \mathcal{S} . \square

Using the theorem, combined with Coro. 4.7(ii), one obtains

Corollary 4.15. (*PBW theorem*) *The set of elements*

$$\{F_1^{\delta'} F_0^{\psi'} K_\mu E_0^\psi E_1^\delta | \underline{\delta}, \underline{\delta'} \in \{0, 1\}^{\mathcal{I}_1}, \underline{\psi}, \underline{\psi'} \in \mathbb{N}^{\mathcal{I}_0}, \mu \in \Lambda\}$$

is a $\mathbb{F}(v)$ -basis of U_q .

Consequently, we get an isomorphism of vector spaces:

$$\begin{aligned} \mathcal{N}_{-1} \otimes U_q(\mathfrak{g}_0) \otimes \mathcal{N}_1 &\longrightarrow U_q \\ u^- \otimes u_0 \otimes u^+ &\longrightarrow u^- u_0 u^+, \quad u^\pm \in \mathcal{N}_{\pm 1}, u_0 \in U_q(\mathfrak{g}_0). \end{aligned}$$

5 The \mathcal{A} -superalgebra U

Let $\mathcal{A} = \mathbb{Z}[v, v^{-1}]$, and let $\mathcal{A}' = \mathbb{Q}(v)$ be its quotient field. Let $U_{\mathcal{A}'}$ be the quantum superalgebra $U_q(\mathfrak{gl}(m, n))$ over \mathcal{A}' (see Sec. 2.). We define U (resp. U^+ ; U^- ; U^0) to be the \mathcal{A} -sub-superalgebra of $U_{\mathcal{A}'}$ generated by the homogeneous elements

$$\begin{aligned} &E_{i,i+1}^{(N)}, F_{i,i+1}^{(N)}, K_j^{\pm 1}, \left[K_{\alpha_j}; c \right]_t \text{ (resp. } E_{i,i+1}^{(N)}; F_{i,i+1}^{(N)}; \\ &K_j^{\pm 1}, \left[K_{\alpha_j}; c \right]_t), i \in [1, m+n), j \in [1, m+n], N \geq 0, c \in \mathbb{Z}, t \in \mathbb{N}. \end{aligned}$$

Following [14], we shall give a description of the \mathcal{A} -superalgebra U in terms of generators and relations.

5.1 The superalgebra \mathcal{V}

In this subsection we shall introduce an \mathcal{A} -superalgebra \mathcal{V} defined by generators and relations. Then we show that this superalgebra is isomorphic to U .

We shall consider the set consisting of the following variables:

$$\begin{aligned} (a) \quad &E_{ij}^{(N)} \\ (b) \quad &F_{ij}^{(N)} \quad ((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, N \in \begin{cases} \mathbb{N}, & \text{if } (i, j) \in \mathcal{I}_0 \\ \{0, 1\}, & \text{if } (i, j) \in \mathcal{I}_1 \end{cases}), \\ (c) \quad &K_{\alpha_i}, K_{\alpha_i}^{-1}, \left[K_{\alpha_i}; c \right]_t \quad (i \in [1, m+n], c \in \mathbb{Z}, t \in \mathbb{N}). \end{aligned}$$

We denote the variable $E_{i,i+1}^{(N)}$ (resp. $F_{i,i+1}^{(N)}$) also by $E_{\alpha_i}^{(N)}$ (resp. $F_{\alpha_i}^{(N)}$), $i \in [1, m+n]$.

Let \mathcal{V}^+ be the \mathcal{A} -superalgebra defined by the homogeneous generators (a) with the relations Lemma 4.11 (1)-(5) together with $E_{ij}^{(0)} = 1$, $E_{ij}^{(2)} = 0$ for all $(i, j) \in \mathcal{I}_1$.

Let \mathcal{V}^- be the \mathcal{A} -superalgebra defined by the homogeneous generators (b) and the relations

$$(f1) \quad F_{ij}^{(2)} = 0, (i, j) \in \mathcal{I}_1,$$

$$(f2) \quad F_{ij}^{(N)} F_{ij}^{(M)} = \begin{bmatrix} M+N \\ N \end{bmatrix} F_{i,j}^{(N+M)}, \quad F_{i,j}^{(0)} = 1,$$

$$(f3) \quad F_{i,j}^{(N)} F_{s,t}^{(M)} = (-1)^{\bar{F}_{ij}\bar{F}_{st}} F_{s,t}^{(M)} F_{i,j}^{(N)},$$

for $i < s < t < j$ or $s < t < i < j$,

$$(f4) \quad F_{t,a}^{(N)} F_{t,b}^{(M)} = [(-1)^{\bar{F}_{t,a}v_t}]^{NM} F_{t,b}^{(M)} F_{t,a}^{(N)}, \quad t < a < b,$$

$$(f5) \quad F_{b,t}^{(N)} F_{a,t}^{(M)} = [(-1)^{\bar{F}_{b,t}v_t^{-1}}]^{NM} F_{a,t}^{(M)} F_{b,t}^{(N)}, \quad a < b < t,$$

$$(f6) \quad F_{c,t}^{(M)} F_{i,c}^{(N)} = \sum_{0 \leq j \leq \min\{N,M\}} v_c^{(M-j)(N-j)} F_{i,c}^{(N-j)} F_{i,t}^{(j)} F_{c,t}^{(M-j)}, \quad i < c < t.$$

Let \mathcal{V}^0 be the \mathcal{A} -algebra defined by the generators (c) and the relations [14, 2.3(g1)-(g5)] with K_{α_i} and v_i in place of K_i and v respectively.

Let \mathcal{V} be the \mathcal{A} -superalgebra defined by the homogeneous generators (a), (b) and (c) and the relations listed above together with the relations (h1)-(h6) below (compare [14, 2.3(h1)-(h6)]):

the formulas 4.3(h1)-(h2),

$$(h3) \quad E_{i,i+1}^{(M)} F_{j,j+1}^{(N)} = F_{j,j+1}^{(N)} E_{i,i+1}^{(M)} \quad \text{if } i \neq j,$$

$$(h4) \quad \text{the formula 4.3(7),}$$

$$(h5) \quad K_{\alpha_i}^\epsilon E_{\alpha_j}^{(N)} = v_i^{\epsilon N a_{ij}} E_{\alpha_j}^{(N)} K_{\alpha_i}^\epsilon, \quad \left. \begin{array}{l} \\ (h6) \quad K_{\alpha_i}^\epsilon F_{\alpha_j}^{(N)} = v_i^{\epsilon N a_{ij}} F_{\alpha_j}^{(N)} K_{\alpha_i}^\epsilon, \end{array} \right\} \quad \epsilon = \pm 1, a_{ij} \in \tilde{A},$$

where \tilde{A} is the augmented Cartan matrix defined in 2.1.

Lemma 5.1. *Let $i < c < c+1$. Then the following identities hold in \mathcal{V}^+ .*

$$(1) \quad E_{i,c+1}^{(N)} = \sum_{j=0}^N (-1)^j v_c^{-j} E_{c,c+1}^{(j)} E_{i,c}^{(N)} E_{c,c+1}^{(N-j)};$$

$$(2) \quad E_{i,c}^{(M)} E_{c,c+1}^{(M+N)} E_{i,c}^{(N)} = E_{c,c+1}^{(N)} E_{i,c}^{(M+N)} E_{c,c+1}^{(M)};$$

$$(3) \quad E_{i,c+1}^{(N)} = \sum_{j=0}^N (-1)^j v_c^{-j} E_{i,c}^{(N-j)} E_{c,c+1}^{(N)} E_{i,c}^{(j)}.$$

$$(4) \quad E_{c,c+1}^{(N)} E_{i,c}^{(M)} = \sum_{0 \leq j \leq \min\{N,M\}} (-1)^j v_c^{j+(N-j)(M-j)} E_{i,c}^{(M-j)} E_{i,c+1}^{(j)} E_{c,c+1}^{(N-j)}.$$

Proof. (1) We use the argument for [14, 2.5(d)]. In the right-hand side we substitute $E_{i,c}^{(N)} E_{c,c+1}^{(N-j)}$ by the expression provided by Lemma 4.11(5); applying the formula [8, 0.2 (4)] or [16, 1.3.4], we get the left-hand side.

(2) Substitute $E_{i,c}^{(M)} E_{c,c+1}^{(M+N)}$ from the left-hand side and $E_{i,c}^{(M+N)} E_{c,c+1}^{(M)}$ from the righthand side by the expression provided by Lemma 4.11(5), we get equal expressions.

(3) follows immediately from (1) and (2).

(4) If $\bar{E}_{ic} = \bar{1}$ (resp. $\bar{E}_{c,c+1} = \bar{1}$), then we get $M = 1$ (resp. $N = 1$) by our convention. In the righthand side of (4), we substitute $E_{ic}^{(M)} E_{c,c+1}^{(N)}$ by the expressions provided by Lemma 4.11(5), then applying Lemma 4.11(4) (resp. Lemma 4.11(3)), we get the left-hand side of (4). Assume $\bar{E}_{ic} = \bar{E}_{c,c+1} = \bar{0}$. In the righthand side of (4), we apply Lemma 4.11(3), then substitute $E_{ic}^{(M-j)} E_{c,c+1}^{(N-j)}$ by the expression provided by Lemma 4.11(5); performing cancelations with the formula [8, 0.2 (4)], we get the left-hand side of (4). \square

Let

$$\begin{aligned} E_0^{(\underline{N})} E_1^{\underline{\delta}} &=: \prod_{(i,j) \in I_0} E_{i,j}^{(N_{i,j})} \prod_{(i,j) \in I_1} E_{i,j}^{(\delta_{i,j})}, \\ F_1^{\underline{\delta}} F_0^{(\underline{N})} &=: \prod_{(i,j) \in \mathcal{I}_1} F_{i,j}^{(\delta_{i,j})} \prod_{(i,j) \in \mathcal{I}_0} F_{i,j}^{(N_{i,j})} \end{aligned}$$

($\underline{N} = (N_{ij})_{(i,j) \in \mathcal{I}_0} \in \mathbb{N}^{\mathcal{I}_0}, \underline{\delta} = (\delta_{ij})_{(i,j) \in \mathcal{I}_1} \in \{0, 1\}^{|\mathcal{I}_1|}$) be the product in the order defined in Sec.3.

Proposition 5.2. (a) \mathcal{V}^+ is generated as an \mathcal{A} -superalgebra by the elements $E_{\alpha_i}^{(N)} = E_{i,i+1}^{(N)}$ ($i \in [1, m+n], N \geq 1$).

(b) \mathcal{V}^+ is generated as an \mathcal{A} -module by the monomials $E_0^{(\underline{N})} E_1^{\underline{\delta}}, \underline{N} \in \mathbb{N}^{|\mathcal{I}_0|}, \underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}$.

Proof. (a) is an immediate consequence of Lemma 5.1(1).

(b) \mathcal{V}^+ is spanned as an \mathcal{A} -module by homogeneous monomials $\xi_1 \xi_2 \cdots \xi_L$, where each ξ_k is one of the generators $E_{ij}^{(N_{ij})}, N_{ij} \geq 1$. With respect to the order for the generators, let $\xi_l = E_{i,j}^{(N)}$ be the minimal (In case the minimal element is not unique, let ξ_l be the rightmost one). Let $|N|$ denote the sum of all N_{ij} such that $E_{ij}^{(N_{ij})} \in \{\xi_1, \xi_2, \dots, \xi_L\}$ is minimal. We claim that each monomial can be written as an \mathcal{A} -linear combination of monomials $\xi'_1 \xi'_2 \cdots \xi'_K$ such that $\xi'_1 = E_{i,j}^{(N')}$ with $0 \leq N' \leq |N|$ and $\xi_l \prec \xi'_j$ for all $j \in [2, K]$. Then the finiteness of the set $\mathcal{I}_0 \cup \mathcal{I}_1$ shows that $\xi_1 \xi_2 \cdots \xi_L$ equals an \mathcal{A} -linear combination of the monomials $E_0^{(\underline{N})} E_1^{\underline{\delta}}$.

We proceed by induction on l . Assume $\xi_{l-1} = E_{s,t}^{(M)}, M \geq 1$. The claim follows

immediately from formulas Lemma 4.11(2)-(4) in each of the following cases.

- (1) $s = i$;
- (2) $t = j$;
- (3) $i < s < t < j$;
- (4) $j < s$.

Suppose $j = s$. By repeated application of Lemma 5.1(1), $\xi_{t-1} = E_{s,t}^{(M)}$ can be written as an \mathcal{A} -linear combination of terms

$$E_{t-1,t}^{(q_{t-1})} \cdots E_{j+1,j+2}^{(q_{j+1})} E_{j,j+1}^{(M)} E_{j+1,j+2}^{(M-q_{j+1})} \cdots E_{t-1,t}^{(M-q_{t-1})}, q_{t-1}, \dots, q_{j+1} \geq 0.$$

Then the claim follows from Lemma 4.11(2) and Lemma 5.1(4).

It remains to check the case $i < s < j < t$. By repeated application of Lemma 5.1(1), we write $\xi_{t-1} = E_{s,t}^{(M)}$ as an \mathcal{A} -linear combination of terms

$$E_{t-1,t}^{(q_{t-1})} \cdots E_{j,j+1}^{(q_j)} E_{s,j}^{(M)} E_{j,j+1}^{(M-q_j)} \cdots E_{t-1,t}^{(M-q_{t-1})}, q_{t-1}, \dots, q_j \geq 0.$$

Then the claim follows from Lemma 4.11(2),(4) and Lemma 5.1(4). \square

Since there is a unique super-ring isomorphism $\mathcal{V}^- \rightarrow (\mathcal{V}^+)^{opp}$ which carries $F_{ij}^{(N)}$ to $E_{ij}^{(N)}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$ and v to v^{-1} , we get

Proposition 5.3. (a) \mathcal{V}^- is generated as an \mathcal{A} -superalgebra by the elements $F_{\alpha_i}^{(N)} = F_{i,i+1}^{(N)}(i \in [1, m+n], N \geq 1)$.

(b) \mathcal{V}^- is generated as an \mathcal{A} -module by the monomials

$$F_1^{\underline{\delta}} F_0^{(\underline{N})} \quad (\underline{N} \in \mathbb{N}^{\mathcal{I}_0}, \underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}).$$

By [14, 2.14], \mathcal{V}^0 is generated as an \mathcal{A} -module by the elements

$$K_{\alpha_1}^{\delta_1} \cdots K_{\alpha_{m+n}}^{\delta_{m+n}} \begin{bmatrix} K_{\alpha_1}; 0 \\ t_1 \end{bmatrix} \cdots \begin{bmatrix} K_{\alpha_{m+n}}; 0 \\ t_{n+m} \end{bmatrix},$$

$\delta_i \in \{0, 1\}, t_i \in \mathbb{N}$.

By a similar argument as that for [14, 2.15-2.16], we obtain a surjective \mathcal{A} -linear map $\pi : \mathcal{V}^- \otimes_{\mathcal{A}} \mathcal{V}^0 \otimes_{\mathcal{A}} \mathcal{V}^+ \rightarrow \mathcal{V}$ such that, when restricted to \mathcal{V}^- (resp. \mathcal{V}^0 ; \mathcal{V}^+), π is an \mathcal{A} -superalgebra homomorphism stablizing the generators. In view of the proof of [14, Prop. 2.17], we get

Proposition 5.4. (a) \mathcal{V} is generated as an \mathcal{A} -superalgebra by the homogeneous elements

$$E_{\alpha_i}^{(N)}, F_{\alpha_i}^{(N)}, K_{\alpha_i}^{\pm 1}, \begin{bmatrix} K_{\alpha_{m+n}}; 0 \\ t \end{bmatrix}, i \in [1, m+n], N \geq 0, t \geq 0.$$

(b) \mathcal{V} is generated as an \mathcal{A} -module by elements

$$F_1^{\underline{\delta}} F_0^{\underline{N}} \Pi_{i=1}^{m+n} (K_{\alpha_i}^{\delta_i} \begin{bmatrix} K_{\alpha_i}; 0 \\ t_i \end{bmatrix}) E_0^{\underline{N}'} E_1^{\underline{\delta}'},$$

$\underline{\delta}, \underline{\delta}' \in \{0, 1\}^{|\mathcal{I}_1|}, \underline{N}, \underline{N}' \in \mathbb{N}^{|\mathcal{I}_0|}, \delta_i \in \{0, 1\}, t_i \geq 0$.

We now form the \mathcal{A}' -superalgebras $\mathcal{V}_{\mathcal{A}'}^+$, $\mathcal{V}_{\mathcal{A}'}^-$, $\mathcal{V}_{\mathcal{A}'}^0$, and $\mathcal{V}_{\mathcal{A}'}$ by applying $-\otimes_{\mathcal{A}} \mathcal{A}'$ to \mathcal{V}^+ , \mathcal{V}^- , \mathcal{V}^0 , and \mathcal{V} . Denote E_{ij} and F_{ij} for $E_{ij}^{(1)} \otimes 1$ and $F_{ij}^{(1)} \otimes 1$.

Proposition 5.5. $\mathcal{V}_{\mathcal{A}'}$ is the \mathcal{A}' -superalgebra defined by the generators $E_{ij}, F_{ij} ((i, j) \in \mathcal{I}_1 \cup \mathcal{I}_1)$, and $K_{\alpha_i}^{\pm 1} (i \in [1, m+n])$, and the following relations:

$$\begin{aligned}
(a1) \quad & E_{ij}^2 = 0, \quad (i, j) \in \mathcal{I}_1, \\
(a2) \quad & E_{ij}E_{st} = (-1)^{\bar{E}_{ij}\bar{E}_{st}} E_{st}E_{ij} \quad \text{if } i < s < t < j \quad \text{or } s < t < i < j, \\
(a3) \quad & E_{ta}E_{tb} = (-1)^{\bar{E}_{ta}} v_t E_{tb}E_{ta}, \quad t < a < b, \\
(a4) \quad & E_{bt}E_{at} = (-1)^{\bar{E}_{bt}} v_t^{-1} E_{at}E_{bt}, \quad a < b < t, \\
(a5) \quad & E_{ij} = E_{ic}E_{cj} - v_c^{-1} E_{cj}E_{ic}, \quad i < c < j, \\
(b1) \quad & F_{ij}^2 = 0, \quad (i, j) \in \mathcal{I}_1, \\
(b2) \quad & F_{ij}F_{st} = (-1)^{\bar{F}_{ij}\bar{F}_{st}} F_{st}F_{ij} \quad \text{if } i < s < t < j \quad \text{or } s < t < i < j, \\
(b3) \quad & F_{ta}F_{tb} = (-1)^{\bar{F}_{ta}} v_t F_{tb}F_{ta}, \quad t < a < b, \\
(b4) \quad & F_{bt}F_{at} = (-1)^{\bar{F}_{bt}} v_t^{-1} F_{at}F_{bt}, \quad a < b < t, \\
(b5) \quad & F_{ij} = -v_c F_{ic}F_{cj} + F_{cj}F_{ic}, \quad i < c < j, \\
(c1) \quad & K_{\alpha_i}K_{\alpha_j} = K_{\alpha_j}K_{\alpha_i}, \\
(c2) \quad & K_{\alpha_i}K_{\alpha_i}^{-1} = 1, \\
(d1) \quad & E_{\alpha_i}F_{\alpha_i} - (-1)^{\delta_{im}} F_{\alpha_i}E_{\alpha_i} = \delta_{ij} \frac{K_{\alpha_i} - K_{\alpha_i}^{-1}}{v_i - v_i^{-1}}, \quad i \in [1, m+n], \\
(d2) \quad & K_{\alpha_i}E_{\alpha_j} = v_i^{a_{ij}} E_{\alpha_j}K_{\alpha_i}, \\
(d3) \quad & K_{\alpha_i}F_{\alpha_j} = v_i^{-a_{ij}} F_{\alpha_j}K_{\alpha_i}, \quad a_{ij} \in \tilde{A}.
\end{aligned}$$

The proof is similar to that of [14, 2.19]. We need only point out that, to prove the relation Lemma 4.11(5), one can use (a5) and proceed by induction first on N with $M = 1$ and then on M . By a similar argument we also get :

$\mathcal{V}_{\mathcal{A}'}^+$ (resp. $\mathcal{V}_{\mathcal{A}'}^-$; $\mathcal{V}_{\mathcal{A}'}^0$) is the \mathcal{A}' -superalgebra defined by the generators $E_{ij} ((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$ (resp. $F_{ij} ((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$; $K_{\alpha_i}^{\pm 1} (i \in [1, m+n])$) and the relations (a1)-(a5) (resp. (b1)-(b5); (c1)-(c2)).

It then follows from Coro. 4.7(V) that there is an \mathcal{A}' -superalgebra isomorphism $f : \mathcal{V}_{\mathcal{A}'}^0 \rightarrow U_{\mathcal{A}'}^0$ such that

$$f(K_{\alpha_i}) = \begin{cases} K_i K_{i+1}^{-1}, & i \in [1, m+n] \\ K_{m+n}, & i = m+n. \end{cases}$$

By Th. 4.14 and [14, 2.21, 2.22], $U_{\mathcal{A}'}$ has the following \mathcal{A}' -basis

$$F_1^{\delta} F_0^N \Pi_{i=1}^{m+n} (K_{\alpha_i}^{\delta_i} \begin{bmatrix} K_{\alpha_i}; 0 \\ t_i \end{bmatrix}) E_0^{N'} E_1^{\delta'}$$

$$(\underline{\delta}, \underline{\delta}' \in \{0, 1\}^{|\mathcal{I}_1|}, \delta_i \in \{0, 1\}, \underline{N}, \underline{N}' \in \mathbb{N}^{|\mathcal{I}_0|}).$$

Since the relations in Prop. 5.5 are also satisfied by the generators of $U_{\mathcal{A}'}$ of the same notion (see Sec.2, Sec.3), we get a unique \mathcal{A}' -superalgebra homomorphism $\rho : \mathcal{V}_{\mathcal{A}'} \rightarrow U_{\mathcal{A}'}$ such that

$$\rho(E_{ij}) = E_{ij}, \rho(F_{ij}) = F_{ij}, \rho(K_{\alpha_i}) = K_{\alpha_i}.$$

By the definition of U , we obtain $\rho(\mathcal{V}) = U$ (resp. $\rho(\mathcal{V}^\pm) = U^\pm$; $\rho(\mathcal{V}^0) = U^0$). By Prop. 5.4(b), ρ carries an \mathcal{A} -basis of \mathcal{V} (hence an \mathcal{A}' -basis of $\mathcal{V}_{\mathcal{A}'}$) to the PBW-type basis of $U_{\mathcal{A}'}$ given above. It follows that ρ is an \mathcal{A}' -superalgebra isomorphism, and hence $\rho|_{\mathcal{V}} : \mathcal{V} \rightarrow U$ is an \mathcal{A} -superalgebra isomorphism. This implies that $U_{\mathcal{A}'} \cong U \otimes_{\mathcal{A}} \mathcal{A}'$.

By induction, one obtains

$$\begin{aligned} \Delta(E_{\alpha_i}^{(N)}) &= \sum_{j=0}^N v_i^{-j(N-j)} E_{\alpha_i}^{(j)} \otimes K_{\alpha_i}^j E_{\alpha_i}^{(N-j)}, \\ \Delta(F_{\alpha_i}^{(N)}) &= \sum_{j=0}^N v_i^{j(N-j)} F_{\alpha_i}^{(j)} K_{\alpha_i}^{-j} \otimes F_{\alpha_i}^{(N-j)}. \end{aligned}$$

Then the \mathcal{A} -superalgebra U inherits a unique Hopf superalgebra structure from $U_{\mathcal{A}'}$.

Let $U(\mathfrak{g}_0)$ be the Hopf \mathcal{A} -sub-superalgebra of U generated only by even generators. Then it is easy to see that $U(\mathfrak{g}_0) \otimes_{\mathcal{A}} \mathbb{F}(v) = U_q(\mathfrak{g}_0)$. Let \mathcal{N}_1 (resp. \mathcal{N}_{-1}) denote also the \mathcal{A} -sub-superalgebra of U generated by the odd generators E_{ij} (resp. F_{ij}), $(i, j) \in \mathcal{I}_1$. In view of the discussion following Lemma 3.3, \mathcal{N}_1 (resp. \mathcal{N}_{-1}) is a free \mathcal{A} -module with a basis consisting of standard monomials $E^{\underline{\delta}}$ (resp. $F^{\underline{\delta}}$), $\underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}$. Therefore, we have $U = \mathcal{N}_{-1} U(\mathfrak{g}_0) \mathcal{N}_1$.

6 The relations with modular representations

Assume \mathbb{F} is a field of characteristic $p \neq 2$. Let G be the general linear \mathbb{F} -supergroup $\mathrm{GL}(m, n)$. In this section we study the relations between quantum groups and modular representations of G . We draw most of the standard results and notation from [3, 9, 12, 14, 15].

6.1 Modular representations of G

Let $\overline{U}(\mathfrak{g})_{\mathbb{Q}}$ be the enveloping superalgebra of the Lie superalgebra \mathfrak{g} over \mathbb{Q} . For the maximal torus \mathfrak{H} of \mathfrak{g} , let $\overline{U}(\mathfrak{H})_{\mathbb{Q}} \subseteq \overline{U}(\mathfrak{g})_{\mathbb{Q}}$ be its enveloping algebra. For each $h \in \mathfrak{H}$ and each $r \in \mathbb{N}$, set

$$\binom{h}{r} = \frac{1}{r!} h(h-1) \cdots (h-r+1) \in \overline{U}(\mathfrak{H})_{\mathbb{Q}}.$$

Defined in [3], the Kostant \mathbb{Z} -form $\overline{U}(\mathfrak{g})_{\mathbb{Z}}$ is a \mathbb{Z} -sub-superalgebra of $\overline{U}(\mathfrak{g})_{\mathbb{Q}}$ generated by

$$e_{ij}^{(r)}, f_{ij}^{(r)} ((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, r \geq 0), \binom{e_{ss}}{r} (s \in [1, m+n], r \geq 0).$$

By [3, 3.1], $\overline{U}(\mathfrak{g})_{\mathbb{Z}}$ is a free \mathbb{Z} -module with a basis consisting of all the monomials of the form

$$\Pi_{(i,j) \in \mathcal{I}_1} f_{ij}^{\delta'_{ij}} \Pi_{(i,j) \in \mathcal{I}_0} f_{ij}^{(a'_{ij})} \Pi_{s=1}^{m+n} \binom{e_{ss}}{r_s} \Pi_{(i,j) \in \mathcal{I}_0} e_{ij}^{(a_{ij})} \Pi_{(i,j) \in \mathcal{I}_1} e_{ij}^{\delta_{ij}},$$

$$a'_{ij}, a_{ij}, r_s \geq 0, \delta'_{ij}, \delta_{ij} = 0, 1.$$

Recall the notation $h_{\alpha_i}, i \in [1, m+n]$ in 2.1. For each $i \in [1, m+n] \setminus m$, we get $\binom{h_{\alpha_i}}{b} \in \overline{U}(\mathfrak{g})_{\mathbb{Z}}$ ($b \in \mathbb{N}$) by [7, Coro 26.2], while [7, Lemma 26.1] implies that $\binom{h_{\alpha_m}}{b} \in \overline{U}(\mathfrak{g})_{\mathbb{Z}}$ for all $b \in \mathbb{N}$. Note that the elements $h_{\alpha_i}, i \in [1, m+n]$ being evaluated in \mathbb{Z} is equivalent to the elements $e_{ii}, i \in [1, m+n]$ being evaluated in \mathbb{Z} . Then using [7, Lemma 26.1] once again, we get

Lemma 6.1. *$\overline{U}(\mathfrak{g})_{\mathbb{Z}}$ has a \mathbb{Z} -basis consisting of all the monomials*

$$\Pi_{(i,j) \in \mathcal{I}_1} f_{ij}^{\delta'_{ij}} \Pi_{(i,j) \in \mathcal{I}_0} f_{ij}^{(a'_{ij})} \Pi_{s=1}^{m+n} \binom{h_{\alpha_s}}{r_s} \Pi_{(i,j) \in \mathcal{I}_0} e_{ij}^{(a_{ij})} \Pi_{(i,j) \in \mathcal{I}_1} e_{ij}^{\delta_{ij}},$$

$$a'_{ij}, a_{ij}, r_s \geq 0, \delta'_{ij}, \delta_{ij} \in \{0, 1\}.$$

Similarly one can describe the Kostant \mathbb{Z} -form $\overline{U}(\mathfrak{g}_{\bar{0}})_{\mathbb{Z}}$ and its \mathbb{Z} -bases.

The closed subgroups G_{ev}, P of G are defined in [3] as follows. For each commutative superalgebra A , let $P(A)$ (resp. $G_{ev}(A)$) be the group of all invertible $(m+n) \times (m+n)$ matrices of the same form as the one in $G(A)$ with the additional condition $Y = 0$ (resp. $Y = 0, X = 0$). Then we have $\text{Lie}(P) = \mathfrak{g}^+$.

The Kostant \mathbb{Z} -form of $\overline{U}(\mathfrak{g}^+)_{\mathbb{Z}}$ is a \mathbb{Z} -free \mathbb{Z} -module with a basis being given by the set of all monomials of the form

$$\Pi_{(i,j), (j,i) \in \mathcal{I}_0} e_{i,j}^{(a_{ij})} \Pi_{1 \leq i \leq m+n} \binom{h_{\alpha_i}}{r_i} \Pi_{(i,j) \in \mathcal{I}_1} e_{ij}^{\delta_{ij}}$$

for all $a_{ij}, r_i \in \mathbb{N}$ and $\delta_i \in \{0, 1\}$, where the product is taken in any fixed order. Then by a similar argument as that for [12, Th.3.2], we can identify $\overline{U}(\mathfrak{g}^+)_{\mathbb{F}} =: \mathbb{F} \otimes_{\mathbb{Z}} \overline{U}(\mathfrak{g}^+)_{\mathbb{Z}}$ with $\text{Dist}(P)$. Let \mathfrak{N} be the two-sided ideal of $\overline{U}(\mathfrak{g}^+)_{\mathbb{F}}$ generated by the elements $e_{ij}, (i, j) \in \mathcal{I}_1$. Then it is easy to check that \mathfrak{N} is nilpotent. Hence we can identify each simple P -module as a G_{ev} -module annihilated by \mathfrak{N} .

In the following, we identify Λ with \mathbb{Z}^{m+n} by sending each $\lambda \in \Lambda$ to

$$(\lambda(h_{\alpha_1}), \dots, \lambda(h_{\alpha_{m+n}})) \in \mathbb{Z}^{m+n}.$$

Let M be a $\text{Dist}(G)$ -module. For each $\underline{z} = (z_1, \dots, z_{m+n}) \in \mathbb{Z}^{m+n}$, define the \underline{z} -weight space of M (compare [3, 12]) by

$$M_{\underline{z}} = \{m \in M \mid \binom{h_{\alpha_i}}{r} m = \binom{z_i}{r} m \text{ for all } i = 1, \dots, m+n, r \geq 1\}.$$

A $\text{Dist}(G)$ -module M is called integrable if it is locally finite over $\text{Dist}(G)$ and satisfies $M = \sum_{\underline{z} \in \mathbb{Z}^{m+n}} M_{\underline{z}}$. By [3, 12], the category of G -modules is isomorphic to the category of integrable $\text{Dist}(G)$ -modules.

Let

$$\mathbb{Z}_+^{m+n} = \{(z_1, \dots, z_{m+n}) \in \mathbb{Z}^{m+n} \mid z_i \geq 0 \text{ for all } i \neq m, m+n\}.$$

Define the set of restricted weights by

$$\mathbb{Z}_p^{m+n} =: \{\underline{z} \in \mathbb{Z}_+^{m+n} \mid 0 \leq z_i < p \text{ for all } 1 \leq i \leq m+n\}.$$

Let $L(\underline{z})$ (resp. $L_0(\underline{z})$) denote the simple G -module (resp. G_{ev} -module) with highest weight \underline{z} . Regard $L_0(\underline{z})$ as a P -module by letting \mathfrak{N} act trivially. There is an induced G -module (see [3, p. 11]) defined by

$$\text{Ind}_P^G \underline{z} =: \text{Dist} G \otimes_{\text{Dist} P} L_0(\underline{z}).$$

Proposition 6.2. *Assume \mathbb{F} is algebraically closed. If $\underline{z} \in \mathbb{Z}_+^{m+n}$ is p -typical, then*

$$\text{Ind}_P^G \underline{z} \cong L(\underline{z}).$$

Proof. We split the proof into two cases according to whether $\underline{z} \in \mathbb{Z}_p^{m+n}$ or not.

Case 1. $\underline{z} \in \mathbb{Z}_p^{m+n}$. Since $\text{Lie}(G_{ev}) = \mathfrak{g}_{\bar{0}}$, $L_0(\underline{z})$ is a restricted simple $\mathfrak{g}_{\bar{0}}$ -module. Then we obtain an isomorphism of restricted \mathfrak{g} -modules:

$$\text{Ind}_P^G \underline{z} \cong \mathcal{K}(\underline{z}).$$

The simplicity of the $\mathfrak{g}_{\bar{0}}$ -module $L_0(\underline{z})$ implies that any nonzero submodule \mathcal{L} of $\mathcal{K}(\underline{z})$ contains the element $\prod_{(i,j) \in \mathcal{I}_1} f_{ij} v^+$, where v^+ is the unique maximal vector of $L_0(\underline{z})$. Then \mathcal{L} contains also the element $\prod_{(i,j) \in \mathcal{I}_1} e_{ij} \prod_{(i,j) \in \mathcal{I}_1} f_{ij} v^+$. Recall the polynomial $P(\underline{z})$ in 2.1. In view of the proof of [10, Prop.2.9], we have that \mathcal{L} contains $P(\underline{z}) v^+ \in \mathbb{F}_p v^+$. Since \underline{z} is p -typical, so that $P(\underline{z}) \neq 0$, we get $v^+ \in \mathcal{L}$. It follows that $\mathcal{L} = \mathcal{K}(\underline{z})$. Thus, $\text{Ind}_P^G \underline{z}$ is a simple restricted \mathfrak{g} -module. Then [12, 4.3] shows that $\text{Ind}_P^G \underline{z} \cong L(\underline{z})$.

Case 2. $\underline{z} \notin \mathbb{Z}_p^{m+n}$. Note that \underline{z} can be written uniquely as $\underline{z} = \underline{z}_1 + p\underline{z}_2$ with $\underline{z}_1 \in \mathbb{Z}_p^{m+n}$ being p -typical and $\underline{z}_2 \in \mathbb{Z}_+^{m+n}$. By the Steinberg tensor product theorem, we have an isomorphism of P -modules

$$L_0(\underline{z}) \cong L_0(\underline{z}_1) \otimes L_0(\underline{z}_2)^{[1]}.$$

By [12, Th.4.4], The simple G -module $L(\underline{z})$ is isomorphic to $L(\underline{z}_1) \otimes L_0(\underline{z}_2)^{[1]}$. Since \underline{z}_1 is p -typical, we get $L(\underline{z}_1) \cong \text{Ind}_P^G L_0(\underline{z}_1)$ from the preceding paragraph. Clearly, the imbedding of the P -module $L_0(\underline{z}_1) \otimes L_0(\underline{z}_2)^{[1]}$ into $L(\underline{z}_1) \otimes L_0(\underline{z}_2)^{[1]}$ induces a nontrivial G -module homomorphism f from $\text{Ind}_P^G \underline{z}$ into $L(\underline{z}_1) \otimes L_0(\underline{z}_2)^{[1]}$. Then the simplicity of the latter implies that f is surjective and hence isomorphic, so we get $\text{Ind}_P^G \underline{z} \cong L(\underline{z})$. \square

Let $\overline{U}(\mathfrak{g})_{\mathbb{F}_p} = \overline{U}(\mathfrak{g})_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{F}_p$ and let $\overline{\mathfrak{u}}$ be the sub-superring of $\overline{U}(\mathfrak{g})_{\mathbb{F}_p}$ generated by the elements $e_{ij}, f_{ij} ((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$ and $e_{m+n, m+n}$. For any field \mathbb{K} of characteristic p , set

$$\overline{\mathfrak{u}}_{\mathbb{K}} =: \overline{\mathfrak{u}} \otimes_{\mathbb{F}_p} \mathbb{K}.$$

With the p th-power map, $\overline{\mathfrak{u}}_{\mathbb{K}}$ becomes the reduced enveloping algebra of the Lie superalgebra $\mathfrak{g} = gl(m, n)$ over \mathbb{K} . Let $M = M_{\overline{0}} \oplus M_{\overline{1}}$ be a simple $\overline{\mathfrak{u}}_{\mathbb{K}}$ -module. Since the minimal polynomial for each e_{ii} (resp. h_{α_i}), $i \in [1, m+n]$ is a product of distinct linear factors, e_{ii} (resp. h_{α_i}) is diagonalizable on M . One can use similar arguments as those in [4] to get

Proposition 6.3. *Every simple $\overline{\mathfrak{u}}_{\mathbb{K}}$ -module contains a unique (up to scalar multiple) homogeneous element $v^+ \neq 0$ such that $e_{ij}v^+ = 0$ for any $(i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1$. There exist λ_i , $0 \leq \lambda_i \leq p-1$, such that $h_{\alpha_i}v^+ = \lambda_i v^+$ ($i \in [1, m+n]$). Non-isomorphic modules yield distinct weights $\underline{\lambda} =: (\lambda_1, \dots, \lambda_{m+n})$. Thus there are totally p^{m+n} isomorphism classes of simple $\overline{\mathfrak{u}}_{\mathbb{K}}$ -modules.*

The element v^+ in the lemma is called a maximal vector of weight $\underline{\lambda}$.

Definition 6.4. [5, 29.13] *Let \mathfrak{A} (resp. $\mathfrak{A} = \mathfrak{A}_{\overline{0}} \oplus \mathfrak{A}_{\overline{1}}$) be a \mathbb{F} -algebra (resp. \mathbb{F} -superalgebra) and M a simple \mathfrak{A} -module. M is called absolutely simple if $M \otimes_{\mathbb{F}} \mathbb{L}$ is also a simple $\mathfrak{A} \otimes_{\mathbb{F}} \mathbb{L}$ -module for any extension field $\mathbb{L} \supseteq \mathbb{F}$.*

Let $M(\underline{\lambda}) = M_{\overline{0}} \oplus M_{\overline{1}}$ be a simple $\overline{\mathfrak{u}}_{\mathbb{F}}$ -module containing the unique maximal vector v^+ of weight $\underline{\lambda}$. Let $|\overline{\mathfrak{u}}_{\mathbb{F}}|$ denote the associate \mathbb{F} -algebra $\overline{\mathfrak{u}}_{\mathbb{F}}$ forgetting its \mathbb{Z}_2 -structure. Then the uniqueness of the maximal vector v^+ implies that $M(\underline{\lambda})$ contains the unique maximal vector v^+ even as a $|\overline{\mathfrak{u}}_{\mathbb{F}}|$ -module. Thus, each $|\overline{\mathfrak{u}}_{\mathbb{F}}|$ -homomorphism from $M(\underline{\lambda})$ into itself carries v^+ to cv^+ for some $0 \neq c \in \mathbb{F}$, so we get

$$\text{Hom}_{|\overline{\mathfrak{u}}_{\mathbb{F}}|}(M(\underline{\lambda}), M(\underline{\lambda})) = \mathbb{F}.$$

By [5, 29.13], $M(\underline{\lambda})$ is a absolutely simple $|\overline{\mathfrak{u}}_{\mathbb{F}}|$ -module. Therefore $M(\underline{\lambda}) \otimes_{\mathbb{F}} \mathbb{L}$ is a simple $|\overline{\mathfrak{u}}_{\mathbb{L}}|$ -module for any extension field \mathbb{L} . This implies that $M(\underline{\lambda}) \otimes_{\mathbb{F}} \mathbb{L}$ is also simple as a $\overline{\mathfrak{u}}_{\mathbb{L}}$ -module, so that $M(\underline{\lambda})$ is absolute simple.

Now let $\overline{\mathbb{F}}$ be the algebraic closure of \mathbb{F} , and let $M(\underline{\lambda})_{\overline{\mathbb{F}}}$ be a simple $\mathfrak{u}_{\overline{\mathbb{F}}}$ -module possessing a unique (up to scalar multiple) maximal vector v^+ of weight $\underline{\lambda}$. Then we may identify $M(\underline{\lambda})$ with the $\mathfrak{u}_{\mathbb{F}}$ -lattice $\mathfrak{u}_{\mathbb{F}} \cdot v^+ \subseteq M(\underline{\lambda})_{\overline{\mathbb{F}}}$.

Thus, the representation theory of \mathbb{F}_p -superalgebra $\overline{\mathfrak{u}}$ is completely determined by that of $\mathfrak{u}_{\overline{\mathbb{F}}}$. Let $\underline{\lambda}$ be as above. By [12, Lemma 4.3], $M(\underline{\lambda})_{\overline{\mathbb{F}}}$ is isomorphic to $L(\underline{\lambda})$ restricted to $\overline{\mathfrak{u}}_{\overline{\mathbb{F}}}$.

6.2 Lusztig's finite dimensional Hopf superalgebras

We fix an integer $l' \geq 1$. Let \mathcal{B} be the quotient ring of \mathcal{A} by the ideal generated by the l' th cyclotomic polynomial $\phi_{l'} \in \mathbb{Z}[v]$. Let $l \geq 1$ be defined by

$$l = \begin{cases} l' & \text{if } l' \text{ is odd,} \\ \frac{l'}{2} & \text{if } l' \text{ is even.} \end{cases}$$

We define the \mathcal{B} -superalgebras $U_{\mathcal{B}}^+$, $U_{\mathcal{B}}^-$, $U_{\mathcal{B}}^0$, and $U_{\mathcal{B}}$ by applying $-\otimes_{\mathcal{A}} \mathcal{B}$ to \mathcal{A} -superalgebras U^+ , U^- , U^0 , and U . Recall the convention for the notation $E_{ij}^{(N)}$, $N \geq 0$. Let \mathbf{u}^+ , \mathbf{u}^- , \mathbf{u}^0 , and \mathbf{u} be the \mathcal{B} -sub-superalgebras of $U_{\mathcal{B}}^+$, $U_{\mathcal{B}}^-$, $U_{\mathcal{B}}^0$, and $U_{\mathcal{B}}$ generated respectively by the elements

$$E_{ij}^{(N)}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, N \in [0, l) \quad); F_{ij}^{(N)}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, N \in [0, l) \quad);$$

$$K_{\alpha_s}^{\pm 1}, \left[K_{\alpha_s}; 0 \right]_t (s \in [1, m+n], t \in [0, l) \quad);$$

and

$$E_{ij}^{(N)}, F_{ij}^{(N)}, \left[K_{\alpha_{m+n}}; 0 \right]_t, K_{\alpha_s}^{\pm 1}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, s \in [1, m+n], t, N \in [0, l) \quad).$$

Using a similar argument as that in [14, 5.2, 5.3], one can get a description of \mathbf{u} and its sub-superalgebras in terms of generators and relations. Moreover, we get

Proposition 6.5. (a) \mathbf{u}^+ is generated as a \mathcal{B} -superalgebra by the elements $E_{\alpha_i}^{(N)} (i \in [1, m+n], N \in [0, l))$ and as a \mathcal{B} -module by the elements $E_0^{(\underline{N})} E_1^{\underline{\delta}}$, $\underline{N} \in [0, l)^{|\mathcal{I}_0|}$, $\underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}$.

(b) \mathbf{u}^- is generated as a \mathcal{B} -superalgebra by the elements $F_{\alpha_i}^{(N)} (i \in [1, m+n], N \in [0, l))$ and as a \mathcal{B} -module by the elements $F_1^{\underline{\delta}} F_0^{(\underline{N})}$, $\underline{N} \in [0, l)^{|\mathcal{I}_0|}$, $\underline{\delta} \in \{0, 1\}^{|\mathcal{I}_1|}$.

(c) \mathbf{u}^0 is generated as a \mathcal{B} -module by the basis elements $\Pi_{i=1}^{m+n} (K_{\alpha_i}^{\delta_i} \left[K_{\alpha_i}; 0 \right]_{t_i})$, $t_i \in [0, l)$, $\delta_i \in \{0, 1\}$.

(d) \mathbf{u} is generated as a \mathcal{B} -superalgebra by the elements $E_{\alpha_i}^{(N)}, F_{\alpha_i}^{(N)} (i \in [1, m+n], N \in [0, l))$ and $K_{\alpha_j}^{\pm 1} (j \in [1, m+n])$ and as a \mathcal{B} -module by the elements

$$F_1^{\underline{\delta}} F_0^{(\underline{N})} \Pi_{i=1}^{m+n} (K_{\alpha_i}^{\delta_i} \left[K_{\alpha_i}; 0 \right]_{t_i}) E_0^{(\underline{N}')} E_1^{\underline{\delta}'}$$

$\underline{N}, \underline{N}' \in [0, l)^{|\mathcal{I}_0|}$, $\underline{\delta}, \underline{\delta}' \in \{0, 1\}^{|\mathcal{I}_1|}$, $\delta_i \in \{0, 1\}$, $t_i \in [0, l)$.

(e) \mathbf{u}^+ , \mathbf{u}^- , \mathbf{u}^0 and \mathbf{u} are free \mathcal{B} -superalgebras of rank

$$2^{mn} l^{\binom{m}{2} + \binom{n}{2}}, \quad 2^{mn} l^{\binom{m}{2} + \binom{n}{2}}, \quad (2l)^{m+n}, \quad \text{and} \quad 2^{mn+1} l^{\binom{m}{2} + \binom{n}{2}} + (2l)^{mn}$$

respectively.

Let \mathcal{B}' be the quotient field of \mathcal{B} . We form the \mathcal{B}' -superalgebras $'\mathbf{u}^+$, $'\mathbf{u}^-$, $'\mathbf{u}^0$, $'\mathbf{u}$ and $U_{\mathcal{B}'}$ by applying $-\otimes_{\mathcal{B}} \mathcal{B}'$ to the \mathcal{B} -algebras \mathbf{u}^+ , \mathbf{u}^- , \mathbf{u}^0 , \mathbf{u} , and $U_{\mathcal{B}}$ respectively. Then Lusztig's argument [14, 5.7, 5.8] can be applied almost verbatim to obtain the following results:

- (1) $'\mathbf{u}^+$ is defined by the generators $E_{ij}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$ and the relations (a1)-(a5) in Prop. 5.5 and $E_{ij}^l = 0((i, j) \in \mathcal{I}_0)$.
- (2) $'\mathbf{u}^-$ is defined by the generators $F_{ij}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$ and the relations (b1)-(b5) in Prop. 5.5 and $F_{ij}^l = 0((i, j) \in \mathcal{I}_0)$.
- (3) $'\mathbf{u}^0$ is defined by the generators $K_{\alpha_i}(i \in [1, m+n])$ and the relations (c1), (c2) in Prop. 5.5 and $K_{\alpha_i}^{2l} = 1$.
- (4) $'\mathbf{u}$ is defined by the generators E_{ij} , $F_{ij}((i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1)$, and $K_{\alpha_i}(i \in [1, m+n])$ and the relations (a1)-(d3) in Prop. 5.5 and $E_{ij}^l = 0$, $F_{ij}^l = 0$, $K_{\alpha_i}^{2l} = 1$.
- (5) $'\mathbf{u}^+$, $'\mathbf{u}^-$, $'\mathbf{u}^0$, $'\mathbf{u}$ may be regarded as \mathcal{B}' -sub-superalgebras of $U_{\mathcal{B}'}$ having the following bases:

$$\begin{aligned}
'\mathbf{u}^+ : & \Pi_{(i,j) \in \mathcal{I}_0} E_{i,j}^{N_{ij}} \Pi_{(i,j) \in \mathcal{I}_1} E_{i,j}^{\delta_{ij}}, \quad N_{ij} \in [0, l), \delta_{ij} \in \{0, 1\}; \\
'\mathbf{u}^- : & \Pi_{(i,j) \in \mathcal{I}_1} F_{i,j}^{\delta'_{ij}} \Pi_{(i,j) \in \mathcal{I}_0} F_{i,j}^{N'_{ij}}, \quad N'_{ij} \in [0, l), \delta'_{ij} \in \{0, 1\}; \\
'\mathbf{u}^0 : & \Pi_{i=1}^{m+n} K_{\alpha_i}^{N_i}, \quad N_i \in [0, 2l); \\
'\mathbf{u} : & \Pi_{(i,j) \in \mathcal{I}_1} F_{i,j}^{\delta'_{ij}} \Pi_{(i,j) \in \mathcal{I}_0} F_{i,j}^{N'_{ij}} \Pi_{i=1}^{m+n} K_{\alpha_i}^{N_i} \Pi_{(i,j) \in \mathcal{I}_0} E_{i,j}^{N_{ij}} \Pi_{(i,j) \in \mathcal{I}_1} E_{i,j}^{\delta_{ij}}, \\
& N_{ij}, N'_{ij} \in [0, l), N_i \in [0, 2l), \delta_{ij}, \delta'_{ij} \in \{0, 1\}.
\end{aligned}$$

In the following we assume $l = l'$ is odd. Use Lusztig's notion

$$K_{i,t} = K_{\alpha_i}^{-t} \begin{bmatrix} K_{\alpha_i}; 0 \\ t \end{bmatrix}, 1 \leq i \leq m+n, t \geq 0.$$

Then by [14, Lemma 6.4], the elements $\Pi_{i=1}^{m+n} K_{\alpha_i}^{l\delta_i} \Pi_{i=1}^{m+n} K_{i,t_i}$ ($0 \leq t_i$, $\delta_i \in \{0, 1\}$) form a \mathcal{B} -basis of $U_{\mathcal{B}}^0$.

Following [14], we let $\tilde{U}_{\mathcal{B}}$, $\tilde{\mathbf{u}}$ (resp. $\tilde{U}_{\mathcal{B}'}$, $\tilde{\mathbf{u}}$) be the quotient of \mathcal{B} -superalgebras (resp. \mathcal{B}' -superalgebras) of $U_{\mathcal{B}}$, \mathbf{u} (resp. $U_{\mathcal{B}'}$, $'\mathbf{u}$) by the two-sided ideal generated by the central elements

$$K_{\alpha_1}^l - 1, \dots, K_{\alpha_{m+n}}^l - 1.$$

Then we get:

- (a) The elements

$$F_1^{\underline{\delta}} F_0^{(\underline{N})} \Pi_{i=1}^{m+n} K_{i,t_i} E_0^{(\underline{N}')} E_1^{\underline{\delta}'} \quad (\underline{N}, \underline{N}' \in \mathbb{N}^{|\mathcal{I}_0|}, \underline{\delta}, \underline{\delta}' \in \{0, 1\}^{|\mathcal{I}_1|}, t_i \in \mathbb{N})$$

form a \mathcal{B} -basis of $\tilde{U}_{\mathcal{B}}$ and \mathcal{B}' -basis of $\tilde{U}_{\mathcal{B}'}$.

- (b) The elements

$$F_1^{\underline{\delta}} F_0^{(\underline{N})} \Pi_{i=1}^{m+n} K_{i,t_i} E_0^{(\underline{N}')} E_1^{\underline{\delta}'} \quad (\underline{N}, \underline{N}' \in [0, l)^{|\mathcal{I}_0|}, \underline{\delta}, \underline{\delta}' \in \{0, 1\}^{|\mathcal{I}_1|}, t_i \in [0, l))$$

form a \mathcal{B} -basis of $\tilde{\mathfrak{u}}$ and \mathcal{B}' -basis of $\tilde{\mathfrak{u}}$.

Let k be a commutative ring and let q be an invertible element in k . Set

$$U_{q,k} = U \otimes_{\mathcal{A}} k, \quad U(\mathfrak{g}_{\bar{0}})_{q,k} = U(\mathfrak{g}_{\bar{0}}) \otimes_{\mathcal{A}} k,$$

where k is regarded as an \mathcal{A} -algebra with v acting as the multiplication by q . For brevity we denote

$$U_v =: U_{v,\mathbb{C}(v)}, \quad U(\mathfrak{g}_{\bar{0}})_v =: U(\mathfrak{g}_{\bar{0}})_{v,\mathbb{C}(v)}, \quad U_{\eta} =: U_{\eta,\mathbb{C}}, \quad U(\mathfrak{g}_{\bar{0}})_{\eta} =: U(\mathfrak{g}_{\bar{0}})_{\eta,\mathbb{C}},$$

$$U_{\mathbb{Z}} = U_{1,\mathbb{Z}}, \quad U(\mathfrak{g}_{\bar{0}})_{\mathbb{Z}} = U(\mathfrak{g}_{\bar{0}})_{1,\mathbb{Z}}, \quad U_{\mathbb{Q}} = U_{1,\mathbb{Q}},$$

where η is a primitive l th root of unity. For the \mathcal{A} -sub-superalgebras $\mathcal{N}_{\pm 1}$, $\mathcal{N}_{\pm 1}^+$ of U , similar notation are defined. But we will usually omit the subscripts v and η and write just $\mathcal{N}_{\pm 1}$ and $\mathcal{N}_{\pm 1}^+$. We denote by $\tilde{U}_{q,k}$ the quotient superring of $U_{q,k}$ by its two-sided ideal generated by the central elements $K_{\alpha_i}^l - 1, i \in [1, m+n]$.

Repeating the arguments used to prove [14, 6.7(a),(c)], one obtains easily the following result.

Proposition 6.6. *There is an isomorphism of superalgebras $\phi: \overline{U}(\mathfrak{g})_{\mathbb{Q}} \longrightarrow \tilde{U}_{\mathbb{Q}}$ such that*

$$\phi(e_{ij}) = E_{ij}, \phi(f_{ij}) = F_{ij}, (i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1, \phi(h_{\alpha_s}) = \begin{bmatrix} K_{\alpha_s}; 0 \\ 1 \end{bmatrix}, s \in [1, m+n].$$

In particular, we have $\phi(\overline{U}(\mathfrak{g})_{\mathbb{Z}}) = \tilde{U}_{\mathbb{Z}}$.

6.3 Representations of the Hopf superalgebra $\tilde{\mathfrak{u}}$

Let $Y = Y_{\bar{0}} \oplus Y_{\bar{1}}$ be a U_v -module. For $\underline{z} = (z_1, \dots, z_{m+n}) \in \mathbb{Z}^{m+n}$, we define its weight space by

$$Y_{\underline{z}} = \{y \in Y \mid K_{\alpha_i} y = v_i^{z_i} y, i = 1, \dots, m+n\}.$$

Note that U_v is a special case of U_q (see Sec.2) with $\mathbb{F} = \mathbb{C}$. Then by similar discussions as those in Sec. 4.2, the sum $\sum_{\underline{z}} Y_{\underline{z}}$ is direct and \mathbb{Z}_2 -graded.

Assume $\underline{z} = (z_1, \dots, z_{m+n}) \in \mathbb{Z}^{m+n}$. We define a U_v -module structure on U_v^- by letting F_{α_i} act as left multiplication, $E_{\alpha_i} 1 = 0$, $K_{\alpha_j} 1 = v_i^{z_j} 1$, $i \in [1, m+n], j \in [1, m+n]$. We denote this U_v -module by $Y(\underline{z})$.

Applying a similar argument as that in [15, 6.1], we see that $Y(\underline{z})$ has a unique simple quotient $\overline{Y}(\underline{z})$. Both $Y(\underline{z})$ and $\overline{Y}(\underline{z})$ are \mathbb{Z}_2 -graded and are direct sums of their weight spaces which are finite dimensional $\mathbb{C}(v)$ -spaces.

Let $\underline{z} \in \mathbb{Z}_+^{m+n}$, and let $\mathcal{L}_0(\underline{z})$ be a simple $U(\mathfrak{g}_{\bar{0}})_v$ -module of highest weight \underline{z} . Then $\mathcal{L}_0(\underline{z})$ is finite dimensional. Recall that U_v has as the subalgebra $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1$.

Regard $\mathcal{L}_0(\underline{z})$ as a $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1$ -module by letting $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1^+$ act trivially. Then the induced U_v -module

$$\mathcal{K}(\underline{z}) = U_v \otimes_{U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1} \mathcal{L}_0(\underline{z})$$

is also finite dimensional.

Lemma 6.7. *For every $\underline{z} \in \mathbb{Z}_+^{m+n}$, $\overline{Y}(\underline{z})$ is a homomorphic image of $\mathcal{K}(\underline{z})$.*

Proof. Let $1 \in \overline{Y}(\underline{z})$ be the unique maximal vector. We claim that $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1 \cdot 1 \subseteq \overline{Y}(\underline{z})$ is a simple $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1$ -submodule. If not, then it contains a proper simple $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1$ -submodule M' . From the discussion following Lemma 4.12, M' has to be a simple $U(\mathfrak{g}_{\bar{0}})_v$ -module annihilated by $U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1^+$, so that M' contains a unique maximal vector v^+ which by definition is a nonzero element with $E_{\alpha_i} v^+ = 0$ for all $i \in [1, m+n] \setminus m$. Since v^+ is annihilated by $E_{\alpha_m} \in U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1^+$, v^+ is also a maximal vector for the U_v -module $\overline{Y}(\underline{z})$, i.e., $v^+ = c \cdot 1$ for some $c \in \mathbb{C}(v)$. Hence we get $M' = \overline{Y}(\underline{z})$, a contradiction.

Thus, we have $\mathcal{L}_0(\underline{z}) \cong U(\mathfrak{g}_{\bar{0}})_v \mathcal{N}_1 \cdot 1 \subseteq \overline{Y}(\underline{z})$. This inclusion induces a U_v -homomorphism from $\mathcal{K}(\underline{z})$ into $\overline{Y}(\underline{z})$ that has to be surjective because $\overline{Y}(\underline{z})$ is simple. \square

Assume $l = l'$ is an odd integer ≥ 3 . In what follows, we identify \mathcal{B}' with the subfield $\mathbb{Q}(\eta)$ of \mathbb{C} by identifying v with η . Then we may regard $U_{\mathcal{B}'}$ as a Hopf \mathcal{B}' -sub-superalgebra of U_η such that $U_{\mathcal{B}'} \otimes_{\mathcal{B}'} \mathbb{C} \cong U_\eta$.

Set

$$\eta_i = \begin{cases} \eta, & \text{if } i \leq m \\ \eta^{-1}, & \text{if } m+1 \leq i \leq m+n. \end{cases}$$

Let $V = V_{\bar{0}} \oplus V_{\bar{1}}$ be a U_η -module (resp. $U_{\mathcal{B}'}$ -module) of type $\underline{1}$. For each $\underline{z} = (z_1, \dots, z_{m+n}) \in \mathbb{Z}^{m+n}$, we define the \underline{z} -weight space

$$V_{\underline{z}} = \{x \in V \mid K_{\alpha_i} x = \eta_i^{z_i} x, \begin{bmatrix} K_{\alpha_i}; 0 \\ l \end{bmatrix} x = \begin{bmatrix} z_i \\ l \end{bmatrix}_{\eta_i} x \text{ for } 1 \leq i \leq m+n\}.$$

Since the parity of K_i , $\begin{bmatrix} K_{\alpha_j}; 0 \\ l \end{bmatrix}$ and $\begin{bmatrix} K_{m+n}; 0 \\ l \end{bmatrix}$ are all $\bar{0}$, $V_{\underline{z}}$ is \mathbb{Z}_2 -graded. Then the argument in [15, 5.2] can be applied almost verbatim to get that $\sum_{\underline{z}} V_{\underline{z}}$ is a U_η -submodule (resp. $U_{\mathcal{B}'}$ -submodule) of V . Also by [15, 3.3(b)], the sum $\sum_{\underline{z}} V_{\underline{z}}$ is direct.

Definition 6.8. *A U_η -module (resp. $U_{\mathcal{B}'}$ -module) $V = V_{\bar{0}} \oplus V_{\bar{1}}$ of type $\underline{1}$ is called integral if $V = \sum_{\underline{z} \in \mathbb{Z}^{m+n}} V_{\underline{z}}$.*

Note: Since [15, 5.1(b)] fails for $\begin{bmatrix} K_{\alpha_i}; 0 \\ l \end{bmatrix}, i \in \{m, m+n\}$, not every finite dimensional simple U_η -module (resp. $U_{\mathcal{B}'}$ -module) is integral.

We shall now construct the integral simple modules for U_η . Let $\underline{z} \in \mathbb{Z}^{m+n}$. Denote by $Y_{\mathcal{A}}(\underline{z})$ (resp. $\overline{Y}_{\mathcal{A}}(\underline{z})$) the U -invariant \mathcal{A} -lattice $U \cdot 1$ of $Y(\underline{z})$ (resp. $\overline{Y}(\underline{z})$). Set

$$Y_\eta(\underline{z}) = Y_{\mathcal{A}}(\underline{z}) \otimes_{\mathcal{A}} \mathbb{C}, \quad \overline{Y}_\eta(\underline{z}) = \overline{Y}_{\mathcal{A}}(\underline{z}) \otimes_{\mathcal{A}} \mathbb{C},$$

where \mathbb{C} is regarded as an \mathcal{A} -algebra by letting v act as multiplication by η . Then $Y_\eta(\underline{z})$, $\overline{Y}_\eta(\underline{z})$ are naturally U_η -modules. Let $L_\eta(\underline{z})$ be the unique simple quotient of $Y_\eta(\underline{z})$ (or, equivalently, of $\overline{Y}_\eta(\underline{z})$). Then clearly $L_\eta(\underline{z})$ is integral. If $\underline{z} \in \mathbb{Z}_+^{m+n}$, Lemma 6.7 implies that $L_\eta(\underline{z})$ is finite dimensional.

Assume $\underline{z} \in \mathbb{Z}_+^{m+n}$. By Lemma 6.7, there is a U_v -epimorphism $f: \mathcal{K}(\underline{z}) \rightarrow \overline{Y}(\underline{z})$. Since $\mathcal{L}_0(\underline{z})$ is a simple $U(\mathfrak{g}_0)_v$ -submodule, $f|_{\mathcal{L}_0(\underline{z})}$ is a $U(\mathfrak{g}_0)_v$ -isomorphism onto its image (denoted also $\mathcal{L}_0(\underline{z})$) in $\overline{Y}(\underline{z})$. Besides, $\mathcal{L}_0(\underline{z}) \subseteq \overline{Y}(\underline{z})$ is also annihilated by $U(\mathfrak{g}_0)_v \mathcal{N}_1^+$. Then we have $\overline{Y}(\underline{z}) = \mathcal{N}_{-1} \mathcal{L}_0(\underline{z})$, so that

$$\overline{Y}_{\mathcal{A}}(\underline{z}) = \mathcal{N}_{-1} U(\mathfrak{g}_0) \cdot v^+,$$

where v^+ is the unique maximal vector in $\mathcal{L}_0(\underline{z})$. Denote the image of v^+ in $L_\eta(\underline{z})$ also by v^+ . It then follows that $L_\eta(\underline{z})$ has as a $U(\mathfrak{g}_0)_\eta$ -submodule $U(\mathfrak{g}_0)_\eta v^+$ annihilated by $U(\mathfrak{g}_0)_\eta \mathcal{N}_1^+$ and

$$L_\eta(\underline{z}) = \mathcal{N}_{-1} U(\mathfrak{g}_0)_\eta v^+.$$

In view of the proof for [15, 6.4], we get

Proposition 6.9. *The map $\underline{z} \rightarrow L_\eta(\underline{z})$ defines a bijection between \mathbb{Z}_+^{m+n} and the set of isomorphism classes of integral simple U_η -modules of type $\underline{1}$, of finite dimension over \mathbb{C} .*

Let \mathbb{K} be any intermediate field between $\mathbb{Q}(\eta)$ and \mathbb{C} . Set $'\tilde{\mathbf{u}}_{\mathbb{K}} = ' \tilde{\mathbf{u}} \otimes_{\mathbb{B}'} \mathbb{K}$. We now study $'\tilde{\mathbf{u}}_{\mathbb{K}}$ -modules. They will be assumed to be finite dimensional over \mathbb{K} . Since $K_{\alpha_i}^l = 1 (i \in [1, m+n])$ in $'\tilde{\mathbf{u}}$, each $'\tilde{\mathbf{u}}_{\mathbb{K}}$ -module $M = M_{\bar{0}} \oplus M_{\bar{1}}$ has a decomposition

$$M_{\bar{0}} = \oplus_{\underline{h}} (M_{\bar{0}})_{\underline{h}}, \quad M_{\bar{1}} = \oplus_{\underline{h}'} (M_{\bar{1}})_{\underline{h}'},$$

where $\underline{h}, \underline{h}' \in [0, l)^{m+n}$ and

$$(M_{\bar{j}})_{\underline{h}} = \{x \in M_{\bar{j}} | K_{\alpha_i} x = \eta_i^{h_i} x, 1 \leq i \leq m+n\}, \bar{j} \in \mathbb{Z}_2.$$

Let

$$M^0 = \{x \in \mathcal{H}(M) | E_{ij} x = 0, \text{ for all } (i, j) \in \mathcal{I}_0 \cup \mathcal{I}_1\}.$$

A nonzero vector $v \in M^0 \cap (M_{\bar{i}})_{\underline{h}}$ is called a maximal vector of weight \underline{h} . Then applying verbatim [14, 5.10, 5.11] we get

Proposition 6.10. *Each simple $'\tilde{\mathbf{u}}_{\mathbb{K}}$ -module $M = M_{\bar{0}} \oplus M_{\bar{1}}$ contains a unique (up to scalar multiple) maximal vector of weight $\underline{h} \in [0, l)^{m+n}$. The correspondence $M \mapsto \underline{h}$ defines a bijection between the set of isomorphism classes of simple $'\tilde{\mathbf{u}}_{\mathbb{K}}$ -modules and the set $[0, l)^{m+n}$.*

Using the fact that each simple $'\tilde{\mathbf{u}}_{\mathbb{K}}$ -module M contains a unique maximal vector, together with a similar discussion as that in Sec. 6.1, we see that M is absolutely simple. So we may restrict our attention to just the case $\mathbb{K} = \mathbb{C}$. It follows from the description of the bases of superalgebras $\tilde{U}_{\mathcal{B}'}$ and $'\tilde{\mathbf{u}}$ in 6.2 that $'\tilde{\mathbf{u}}_{\mathbb{C}}$ can be regarded as a sub-superalgebra of \tilde{U}_{η} .

Set

$$\mathbb{Z}_l^{m+n} =: \{(z_1, \dots, z_{m+n}) \in \mathbb{Z}_+^{m+n} \mid 0 \leq z_i \leq l-1 \text{ for all } i \neq m, m+n\}.$$

The following lemma can be proved by a similar argument as that for [15, 7.1].

Lemma 6.11. *Assume $\underline{z} \in \mathbb{Z}_l^{m+n}$ and let x be a maximal vector of $L_{\eta}(\underline{z})$. Then*

- (a) $F_{\alpha_i}^{(l)} x = 0$ for $i \in [1, m+n] \setminus m$.
- (b) Let $\nabla = \{y \in \mathcal{H}(L_{\eta}(\underline{z})) \mid E_{\alpha_i} y = 0 \text{ for all } i \neq m, m+n\}$. Then $\nabla = \mathbb{C} \cdot x$.
- (c) Then restriction of $L_{\eta}(\underline{z})$ to $'\tilde{\mathbf{u}}_{\mathbb{C}}$ is a simple $'\tilde{\mathbf{u}}_{\mathbb{C}}$ -module.
- (d) $L_{\eta}(\underline{z}) = ' \tilde{\mathbf{u}}_{\mathbb{C}} \cdot x$.

It then follows that each simple $'\tilde{\mathbf{u}}_{\mathbb{C}}$ -module can be lifted to an integral simple U_{η} -module of type $\underline{1}$.

6.4 The extended Lusztig conjecture

Recall the \mathbb{Z} -superalgebra $\overline{U}(\mathfrak{g})_{\mathbb{Z}}$. For any field \mathbb{F} , we denote $\overline{U}(\mathfrak{g})_{\mathbb{F}} = \overline{U}(\mathfrak{g})_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{F}$, where \mathbb{F} is regarded as a \mathbb{Z} -algebra by letting $z \in \mathbb{Z}$ act as multiplication by $z1_{\mathbb{F}} \in \mathbb{F}$. For the \mathbb{Z} -subalgebra $\overline{U}(\mathfrak{g}_{\bar{0}})_{\mathbb{Z}}$, the notation $\overline{U}(\mathfrak{g}_{\bar{0}})_{\mathbb{F}}$ is defined similarly.

By [3, Th.3.2], $\overline{U}(\mathfrak{g})_{\mathbb{F}}$ is isomorphic to $\text{Dist}(G)$ as Hopf superalgebras if $\text{char.}\mathbb{F} \neq 2$, where $\text{Dist}(G)$ is the distribution superalgebra of the \mathbb{F} -supergroup $G = \text{GL}(m, n)$.

In this subsection assume $l = l'$ is an odd prime p , and assume \mathbb{F} is an algebraically closed field of characteristic p . Consider the ring homomorphism $\mathcal{B} \rightarrow \mathbb{F}_p$ which takes $z \in \mathbb{Z}$ to $z \bmod p \in \mathbb{F}_p$ and v to 1, and let \mathfrak{m} be its kernel. Then applying a similar argument as that for [14, Th. 6.8], we get

Theorem 6.12. *There are isomorphisms of Hopf superalgebras:*

$$\tilde{U}_{\mathcal{B}}/\mathfrak{m}\tilde{U}_{\mathcal{B}} \cong \overline{U}(\mathfrak{g})_{\mathbb{F}_p}, \quad \tilde{\mathbf{u}}/\mathfrak{m}\tilde{\mathbf{u}} \cong \bar{\mathbf{u}}.$$

Assume η is a primitive p th root of unity. By [9, Ch.H], $\mathbb{Z}[\eta]$ is the ring of all algebraic integers in $\mathbb{Q}(\eta)$ and $1 - \eta$ generates the unique maximal ideal in $\mathbb{Z}[\eta]$. Let \mathcal{R} denote the localization of $\mathbb{Z}[\eta]$ at $(1 - \eta)$. Then \mathcal{R} is a discrete valuation ring with residue field \mathbb{F}_p . Regard the field \mathbb{F} as a \mathcal{R} -algebra via the embedding of the residue field \mathcal{R} into \mathbb{F} . We can identify $U_{\eta, \mathcal{R}} \otimes_{\mathcal{R}} \mathbb{F}$ with $U_{1, \mathbb{F}}$ (see Sec. 6.3).

Assume $\underline{z} \in \mathbb{Z}_+^{m+n}$. Let v^+ be a maximal vector of the simple U_η -module $L_\eta(\underline{z})$. Then $L_{\mathcal{R}}(\underline{z}) = U_{\eta, \mathcal{R}} v^+$ is a $U_{\eta, \mathcal{R}}$ -invariant \mathcal{R} -lattice in $L_\eta(\underline{z})$. Now

$$L_\eta(\underline{z})_{\mathbb{F}} = L_\eta(\underline{z})_{\mathcal{R}} \otimes_{\mathcal{R}} \mathbb{F}$$

has a natural structure as a module over $U_{1, \mathbb{F}}$. Since each K_{α_i} acts on $L_\eta(\underline{z})_{\mathbb{F}}$ as the identity, $L_\eta(\underline{z})_{\mathbb{F}}$ is a $\tilde{U}_{1, \mathbb{F}}$ -module. By Prop. 6.6, we have

$$\tilde{U}_{1, \mathbb{F}} = \tilde{U}_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{F} \cong \overline{U}(\mathfrak{g})_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{F} = \overline{U}(\mathfrak{g})_{\mathbb{F}},$$

so that $L_\eta(\underline{z})_{\mathbb{F}}$ is a $\overline{U}(\mathfrak{g})_{\mathbb{F}}$ -module. Then [3, Th. 3.2] says that $L_\eta(\underline{z})_{\mathbb{F}}$ is a $\text{Dist}(G)$ -module, and hence a G -module by [3, Coro. 3.5].

By the theorem above, each simple $\bar{\mathfrak{u}}$ -module corresponding to a simple $'\tilde{\mathfrak{u}}$ -module M and has dimension $\leq \dim M$. We now extend the Lusztig's conjecture in [14, 0.3] to the super case as follows.

Conjecture: If $p > \max\{m, n\}$ and $\underline{z} \in \mathbb{Z}_p^{m+n}$, then the inequality above is an equality and $\bar{\mathfrak{u}}$ and $'\tilde{\mathfrak{u}}$ have identical representation theories.

The conjecture is supported by the following theorem.

Theorem 6.13. *Let \mathbb{F} be an algebraically closed field of characteristic $p > \max\{m, n\}$. Assume Lusztig's conjecture in [14, 0.3]. If $\underline{z} \in \mathbb{Z}_p^{m+n}$ is p -typical, then $L_\eta(\underline{z})_{\mathbb{F}}$ is simple as a $GL(m, n)$ -module.*

Proof. Let v^+ be a maximal vector of $L_\eta(\underline{z})$. From the discussion preceding Prop. 6.9, we have

$$L_{\mathcal{R}}(\underline{z}) = U_{\eta, \mathcal{R}} v^+ = \mathcal{N}_{-1, \mathcal{R}} U(\mathfrak{g}_0)_{\eta, \mathcal{R}} v^+,$$

where $U(\mathfrak{g}_0)_{\eta, \mathcal{R}} v^+$ is a $U(\mathfrak{g}_0)_{\eta, \mathcal{R}}$ -invariant lattice of the simple $U(\mathfrak{g}_0)_{\eta}$ -module annihilated by $U(\mathfrak{g}_0)_{\eta} \mathcal{N}_1^+$. This gives us

$$L_\eta(\underline{z})_{\mathbb{F}} = \wedge(\mathfrak{g}_{-1})_{\mathbb{F}} \overline{U}(\mathfrak{g}_0)_{\mathbb{F}} v^+,$$

where $\wedge(\mathfrak{g}_{-1})$ is the \mathbb{F} -subalgebra of $\overline{U}(\mathfrak{g})_{\mathbb{F}}$ generated by $f_{ij}, (i, j) \in \mathcal{I}_1$. Since $\underline{z} \in \mathbb{Z}_p^{m+n}$ and $p > \max\{m, n\}$, the Lusztig conjecture in [14, 0.3] says that $\overline{U}(\mathfrak{g}_0)_{\mathbb{F}} v^+$ is a simple $\overline{U}(\mathfrak{g}_0)_{\mathbb{F}}$ -module of highest weight \underline{z} . Therefore $L_\eta(\underline{z})_{\mathbb{F}}$ is a homomorphic image of $\mathcal{K}(\underline{z})$.

Since \underline{z} is p -typical, by Proposition 6.2, we obtain

$$L_\eta(\underline{z})_{\mathbb{F}} \cong \mathcal{K}(\underline{z}) \cong \text{Dist} G \otimes_{\text{Dist} P} \overline{U}(\mathfrak{g}_0)_{\mathbb{F}} v^+ = \text{Ind}_p^G \underline{z}$$

is a simple $GL(m, n)$ -module, as desired. \square

7 Lusztig's tensor product theorem

The purpose of this section is to establish the tensor product theorem for the quantum supergroup U_η analogous to that in [15]. Assume l is an odd number ≥ 3 and η

is a primitive l th root of unity. We draw most of the notation and standard results from [15].

Applying a similar argument as that for [15, Lemma 7.2], one obtains

Lemma 7.1. *Let \mathfrak{V}_η be the subalgebra of U_η generated by $E_{\alpha_i}^{(l)}, F_{\alpha_i}^{(l)}, \begin{bmatrix} K_{\alpha_{m+n}}, c \\ l \end{bmatrix}, \begin{bmatrix} K_{\alpha_m}, c \\ l \end{bmatrix}, i \in [1, m+n) \setminus m, c \in \mathbb{Z}$. Assume $\underline{z} \in \mathbb{Z}^{m+n}$ with $\underline{z} = l\underline{z}_1$ for some $\underline{z}_1 \in \mathbb{Z}^{m+n}$. Let x be a maximal vector of $L_\eta(\underline{z})$. Then*

- (a) $E_{\alpha_i}, F_{\alpha_i}, K_{\alpha_j} - 1$ act as 0 on $L_\eta(\underline{z})$ ($i \in [1, m+n), j \in [1, m+n]$).
- (b) Let $\nabla = \{y \in \mathcal{H}(L_\eta(\underline{z})) | E_{\alpha_i}^{(l)}y = 0 \text{ for all } i \neq m, m+n\}$. Then $\nabla = \mathbb{C} \cdot x$.
- (c) $L_\eta(\underline{z}) = \mathfrak{V}_\eta \cdot x$.

Let $\underline{z} \in \mathbb{Z}_+^{m+n}$. We can write uniquely $\underline{z} = \underline{z}_1 + l\underline{z}_2$, where $\underline{z}_1 \in \mathbb{Z}_l^{m+n}$ and $\underline{z}_2 \in \mathbb{Z}_+^{m+n}$. Then applying a similar proof as that of [15, 7.4], we get

Theorem 7.2. *The U_η -modules $L_\eta(\underline{z})$ and $L_\eta(\underline{z}_1) \otimes L_\eta(l\underline{z}_2)$ are isomorphic.*

Proposition 7.3. *For any $\underline{z} = (z_1, \dots, z_{m+n}) \in \mathbb{Z}_+^{m+n}$, $L_\eta(l\underline{z})$ is a simple $\overline{U}(\mathfrak{g}_0)_\mathbb{C}$ -module with highest weight \underline{z} .*

Proof. Let I be the two-sided ideal of U_η generated by $E_{\alpha_i}, F_{\alpha_i}, K_{\alpha_j} - 1, i \in [1, m+n), j \in [1, m+n]$. In view of the proof of [15, 7.5], we have a unique superalgebra epimorphism $\phi : \overline{U}(\mathfrak{g}_0)_\mathbb{C} \rightarrow U_\eta/I$ such that

$$\begin{aligned} \phi(e_{\alpha_i}) &= \overline{E_{\alpha_i}^{(l)}}, & i \in [1, m+n) \setminus m, \\ \phi(f_{\alpha_i}) &= \overline{F_{\alpha_i}^{(l)}}, \\ \phi(h_{\alpha_i}) &= \overline{\begin{bmatrix} K_{\alpha_i}; 0 \\ l \end{bmatrix}}, & i \in [1, m+n]. \end{aligned}$$

Since $\overline{E_{\alpha_i}^{(l)}}, \overline{F_{\alpha_i}^{(l)}}, \overline{\begin{bmatrix} K_{\alpha_m}; 0 \\ l \end{bmatrix}}, \overline{\begin{bmatrix} K_{\alpha_{m+n}}; 0 \\ l \end{bmatrix}}$ generate U_η/I as an algebra, ϕ is surjective. By Lemma 7.1, $L_\eta(l\underline{z})$ is a simple U_η/I -module and hence the pull back by ϕ is a simple $\overline{U}(\mathfrak{g}_0)_\mathbb{C}$ -module. Now let x be a maximal vector of $L_\eta(l\underline{z})$. Then by [15, 3.2(a)], we have $h_{\alpha_i} \cdot x = z_i x, i \in [1, m+n]$. \square

Recall the notation $U(\mathfrak{g}_0)_\mathbb{Z}$ and $U_\mathcal{B}$ in Sec. 6.2. Following Lusztig [18], we define the Frobenius morphism for the quantum supergroup $U_\mathcal{B}$.

Theorem 7.4. *(compare [18, Th. 8.10]) There is a unique \mathcal{B} -superalgebra homomorphism $\chi : U_\mathcal{B} \rightarrow U(\mathfrak{g}_0)_\mathbb{Z} \otimes_\mathbb{Z} \mathcal{B}$ such that $\chi(E_{\alpha_i}^{(N)})$ is $E_{\alpha_i}^{(N/l)}$ if l divides N and is zero otherwise; $\chi(F_{\alpha_i}^{(N)})$ is $F_{\alpha_i}^{(N/l)}$ if l divides N and is zero otherwise; $\chi(\begin{bmatrix} K_{\alpha_i}; 0 \\ N \end{bmatrix})$ is $\begin{bmatrix} K_{\alpha_i}; 0 \\ N/l \end{bmatrix}$ if l divides N and is zero otherwise ($i \in [1, m+n]$); $\chi(K_{\alpha_i}^{\pm 1}) = K_{\alpha_i}^{\pm 1} (i \in [1, m+n])$.*

Proof. Note that $U(\mathfrak{g}_0)_{\mathbb{Z}}$ is generated by the even elements

$$E_{ij}^{(N)}, F_{ij}^{(N)}, \begin{bmatrix} K_{\alpha_m}; 0 \\ t \end{bmatrix}, \begin{bmatrix} K_{\alpha_{m+n}}; 0 \\ t \end{bmatrix}, K_{\alpha_s}^{\pm 1}, (i, j) \in \mathcal{I}_0, t, N \geq 0, s \in [1, m+n]$$

with the same relations as those for U given in Sec.5, with v replaced by 1. Then it suffices to check that χ preserves the relations Lemma 4.11 (1)-(5), Sec.5 (f1)-(f6), (g1)-(g5), (h1)-(h6). Note that χ carries odd elements to zero and $v^l = 1$ in \mathcal{B} . Then it is clear that each relation involving only even generators is preserved by χ , so that χ is a \mathcal{B} -superalgebra homomorphism. Clearly χ is surjective. \square

The arguments leading to [14, 6.7(a)] can be repeated to show that there is a \mathbb{C} -algebra epimorphism from $U(\mathfrak{g}_0)_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathcal{B} \otimes_{\mathcal{B}} \mathbb{C}$ into $\overline{U}(\mathfrak{g}_0)_{\mathbb{C}}$ sending K_{α_i} to 1. Composing this epimorphism with $\chi \otimes 1_{\mathbb{C}}$, we see that $L_{\eta}(l\underline{z})$ becomes a simple U_{η} -module of highest weight \underline{z} .

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